



# Governor's Recommendation

## Fiscal Year 2007

Gregory A. Steinhoff, Director  
573/751-4770

**Book 2**

**DEPARTMENT OF ECONOMIC DEVELOPMENT  
FY 2007 BUDGET  
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# **FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS**

# **DECISION ITEM SUMMARY**

| Budget Unit                                   |                  |              |                    |              |                    |              |                    |              |  |
|---|------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--|
| Decision Item                                 | FY 2005          | FY 2005      | FY 2006            | FY 2006      | FY 2007            | FY 2007      | FY 2007            | FY 2007      |  |
| Budget Object Summary                         | ACTUAL           | ACTUAL       | BUDGET             | BUDGET       | DEPT REQ           | DEPT REQ     | GOV REC            | GOV REC      |  |
| Fund  | DOLLAR           | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          |  |
| <b>DIVISION OF CREDIT UNIONS</b>              |                  |              |                    |              |                    |              |                    |              |  |
| <b>CORE</b>                                   |                  |              |                    |              |                    |              |                    |              |  |
| PERSONAL SERVICES                             |                  |              |                    |              |                    |              |                    |              |  |
| DIVISION OF CREDIT UNIONS                     | 727,561          | 15.38        | 1,038,377          | 15.50        | 1,038,377          | 15.50        | 1,038,377          | 15.50        |  |
| TOTAL - PS                                    | 727,561          | 15.38        | 1,038,377          | 15.50        | 1,038,377          | 15.50        | 1,038,377          | 15.50        |  |
| EXPENSE & EQUIPMENT                           |                  |              |                    |              |                    |              |                    |              |  |
| DIVISION OF CREDIT UNIONS                     | 117,542          | 0.00         | 126,664            | 0.00         | 123,775            | 0.00         | 123,775            | 0.00         |  |
| TOTAL - EE                                    | 117,542          | 0.00         | 126,664            | 0.00         | 123,775            | 0.00         | 123,775            | 0.00         |  |
| <b>TOTAL</b>                                  | <b>845,103</b>   | <b>15.38</b> | <b>1,165,041</b>   | <b>15.50</b> | <b>1,162,152</b>   | <b>15.50</b> | <b>1,162,152</b>   | <b>15.50</b> |  |
| <b>GENERAL STRUCTURE ADJUSTMENT - 0000012</b> |                  |              |                    |              |                    |              |                    |              |  |
| PERSONAL SERVICES                             |                  |              |                    |              |                    |              |                    |              |  |
| DIVISION OF CREDIT UNIONS                     | 0                | 0.00         | 0                  | 0.00         | 0                  | 0.00         | 41,535             | 0.00         |  |
| TOTAL - PS                                    | 0                | 0.00         | 0                  | 0.00         | 0                  | 0.00         | 41,535             | 0.00         |  |
| <b>TOTAL</b>                                  | <b>0</b>         | <b>0.00</b>  | <b>0</b>           | <b>0.00</b>  | <b>0</b>           | <b>0.00</b>  | <b>41,535</b>      | <b>0.00</b>  |  |
| <b>GRAND TOTAL</b>                            | <b>\$845,103</b> | <b>15.38</b> | <b>\$1,165,041</b> | <b>15.50</b> | <b>\$1,162,152</b> | <b>15.50</b> | <b>\$1,203,687</b> | <b>15.50</b> |  |

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# CORE DECISION ITEM

|  |                               |                |                  |                  |  |  |             |                  |                  |
|--|-------------------------------|----------------|------------------|------------------|--|--|-------------|------------------|------------------|
| <b>Department: Economic Development</b>  |                               |                |                  |                  | <b>Budget Unit</b> <u>42490C</u>   |  |             |                  |                  |
| <b>Division: Credit Unions</b>   |                               |                |                  |                  |  |  |             |                  |                  |
| <b>Core: Division of Credit Unions</b>   |                               |                |                  |                  |  |  |             |                  |                  |
| <b>1. CORE FINANCIAL SUMMARY</b>   |                               |                |                  |                  |  |  |             |                  |                  |
|  | <b>FY 2007 Budget Request</b> |                |                  |                  |  | <b>FY 2007 Governor's Recommendation</b> |             |                  |                  |
|  | <b>GR</b>                     | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     |  | <b>GR</b>                                | <b>Fed</b>  | <b>Other</b>     | <b>Total</b>     |
| PS   | 0                             | 0              | 1,038,377        | 1,038,377        | PS   | 0  | 0           | 1,038,377        | 1,038,377        |
| EE   | 0                             | 0              | 123,775          | 123,775          | EE   | 0  | 0           | 123,775          | 123,775          |
| PSD  | 0                             | 0              | 0                | 0                | PSD  | 0  | 0           | 0                | 0                |
| <b>Total</b>   | <b>0</b>                      | <b>0</b>       | <b>1,162,152</b> | <b>1,162,152</b> | <b>Total</b>   | <b>0</b>                                 | <b>0</b>    | <b>1,162,152</b> | <b>1,162,152</b> |
| <b>FTE</b>   | <b>0.00</b>                   | <b>0.00</b>    | <b>15.50</b>     | <b>15.50</b>     | <b>FTE</b>   | <b>0.00</b>                              | <b>0.00</b> | <b>15.50</b>     | <b>15.50</b>     |
| <b>Est. Fringe</b>   | <b>0</b>                      | <b>0</b>       | <b>507,663</b>   | <b>507,663</b>   | <b>Est. Fringe</b>   | <b>0</b>                                 | <b>0</b>    | <b>507,663</b>   | <b>507,663</b>   |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>   |                               |                |                  |                  | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |  |             |                  |                  |
| Other Funds: Credit Union Fee Fund (0548)  |                               |                |                  |                  | Other Funds: Credit Union Fee Fund (0548)  |  |             |                  |                  |
| Notes: Transfer of IT E&E from non-IT approps (\$2,889).   |                               |                |                  |                  | Notes: Transfer of IT E&E from non-IT approps (\$2,889).   |  |             |                  |                  |
| <b>2. CORE DESCRIPTION</b>   |                               |                |                  |                  |  |  |             |                  |                  |
| Missouri credit unions have grown to \$7.7 billion assets with 1.2 million members. The division is charged with the exclusive supervision of all Missouri state chartered credit unions. We must ensure that credit unions are fiscally safe, sound and in compliance with applicable laws, rules and regulations. On site examination is mandated by statute. This core request is to fund these activities. |                               |                |                  |                  |  |  |             |                  |                  |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>  |                               |                |                  |                  |  |  |             |                  |                  |
| Safety and soundness of Missouri state chartered credit unions   |                               |                |                  |                  |  |  |             |                  |                  |

# CORE DECISION ITEM

Department: Economic Development

Budget Unit 42490C

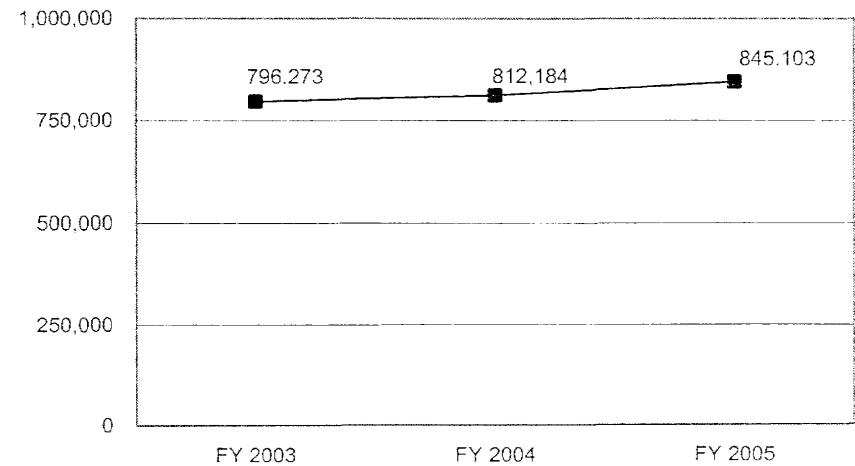
Division: Credit Unions

Core: Division of Credit Unions

## 4. FINANCIAL HISTORY

|                                 | FY 2003<br>Actual | FY 2004<br>Actual | FY 2005<br>Actual | FY 2006<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 854,751           | 850,728           | 869,328           | 1,165,041              |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | N/A                    |
| Budget Authority (All Funds)    | 854,751           | 850,728           | 869,328           | N/A                    |
| Actual Expenditures (All Funds) | 796,273           | 812,184           | 845,103           | N/A                    |
| Unexpended (All Funds)          | 58,478            | 38,544            | 24,225            | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 42,567            | 32,334            | 24,225            | N/A                    |
|                                 | (1)               | (2)               | (3)               |                        |

Actual Expenditures (All Funds)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1) Unexpended PS in FY 03 due to turnover.
- (2) Unexpended PS in FY 04 due to turnover.
- (3) Unexpended PS in FY 05 due to turnover.

# CORE RECONCILIATION

DEPARTMENT OF ECONOMIC DEVELOPMENT  
DIVISION OF CREDIT UNIONS

## 5. CORE RECONCILIATION

|                             | Budget<br>Class | FTE   | GR | Federal | Other     | Total     | Explanation  |
|-----------------------------|-----------------|-------|----|---------|-----------|-----------|--|
| TAFP AFTER VETOES           |                 |       |    |         |           |           |  |
|                             | PS              | 15.50 | 0  | 0       | 1,038,377 | 1,038,377 |  |
|                             | EE              | 0.00  | 0  | 0       | 126,664   | 126,664   |  |
|                             | Total           | 15.50 | 0  | 0       | 1,165,041 | 1,165,041 |  |
| DEPARTMENT CORE ADJUSTMENTS |                 |       |    |         |           |           |  |
| Core Reallocation           | [#1093] EE      | 0.00  | 0  | 0       | (2,889)   | (2,889)   | DED DIVISIONS TRANSFER OF IT E&E FROM<br>NON-IT APPROPRIATIONS TO DED IT CONSOLIDATION |
| NET DEPARTMENT CHANGES      |                 | 0.00  | 0  | 0       | (2,889)   | (2,889)   |  |
| DEPARTMENT CORE REQUEST     |                 |       |    |         |           |           |  |
|                             | PS              | 15.50 | 0  | 0       | 1,038,377 | 1,038,377 |  |
|                             | EE              | 0.00  | 0  | 0       | 123,775   | 123,775   |  |
|                             | Total           | 15.50 | 0  | 0       | 1,162,152 | 1,162,152 |  |
| GOVERNOR'S RECOMMENDED CORE |                 |       |    |         |           |           |  |
|                             | PS              | 15.50 | 0  | 0       | 1,038,377 | 1,038,377 |  |
|                             | EE              | 0.00  | 0  | 0       | 123,775   | 123,775   |  |
|                             | Total           | 15.50 | 0  | 0       | 1,162,152 | 1,162,152 |  |



# FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS

# DECISION ITEM DETAIL

| Budget Unit                   | FY 2005 | FY 2005 | FY 2006   | FY 2006 | FY 2007   | FY 2007  | FY 2007   | FY 2007 |
|-------------------------------|---------|---------|-----------|---------|-----------|----------|-----------|---------|
| Decision Item                 | ACTUAL  | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC   | GOV REC |
| Budget Object Class           | DOLLAR  | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR    | FTE     |
| DIVISION OF CREDIT UNIONS     |         |         |           |         |           |          |           |         |
| CORE                          |         |         |           |         |           |          |           |         |
| OFFICE SUPPORT ASST (KEYBRD)  | 16,632  | 0.82    | 12,585    | 0.50    | 0         | 0.00     | 0         | 0.00    |
| EXECUTIVE I                   | 30,790  | 1.00    | 32,800    | 1.00    | 0         | 0.00     | 0         | 0.00    |
| FINANCIAL EXAM ASST I         | 60,557  | 1.97    | 62,904    | 1.00    | 0         | 0.00     | 0         | 0.00    |
| FINANCIAL EXAM ASST II        | 39,462  | 1.00    | 38,712    | 1.00    | 0         | 0.00     | 0         | 0.00    |
| FINANCIAL EXAMINER            | 40,603  | 1.00    | 39,682    | 2.00    | 0         | 0.00     | 0         | 0.00    |
| SENIOR FINANCIAL EXAMINER     | 110,271 | 2.24    | 193,999   | 4.00    | 0         | 0.00     | 0         | 0.00    |
| FINANCIAL EXAMINER SPEC       | 164,196 | 2.92    | 112,400   | 2.00    | 0         | 0.00     | 0         | 0.00    |
| CHIEF FINANCIAL EXAMINER      | 0       | 0.00    | 62,162    | 1.00    | 0         | 0.00     | 0         | 0.00    |
| DIVISION DIRECTOR             | 74,937  | 1.00    | 74,526    | 1.00    | 0         | 0.00     | 0         | 0.00    |
| DESIGNATED PRINCIPAL ASST DIV | 187,513 | 3.43    | 100,207   | 1.00    | 0         | 0.00     | 0         | 0.00    |
| COMMISSION MEMBER             | 2,700   | 0.00    | 8,400     | 0.00    | 16,800    | 0.00     | 16,800    | 0.00    |
| OTHER                         | 0       | 0.00    | 300,000   | 1.00    | 0         | 0.00     | 0         | 0.00    |
| OFFICE SUPPORT ASST (KEYBRD)  | 0       | 0.00    | 0         | 0.00    | 16,115    | 0.50     | 16,115    | 0.50    |
| EXECUTIVE II                  | 0       | 0.00    | 0         | 0.00    | 36,580    | 1.00     | 36,580    | 1.00    |
| FINANCIAL EXAM ASST I         | 0       | 0.00    | 0         | 0.00    | 45,524    | 1.00     | 45,524    | 1.00    |
| FINANCIAL EXAM ASST II        | 0       | 0.00    | 0         | 0.00    | 116,644   | 2.00     | 116,644   | 2.00    |
| FINANCIAL EXAMINER            | 0       | 0.00    | 0         | 0.00    | 112,556   | 2.00     | 112,556   | 2.00    |
| SENIOR FINANCIAL EXAMINER     | 0       | 0.00    | 0         | 0.00    | 62,564    | 1.00     | 62,564    | 1.00    |
| FINANCIAL EXAMINER SPEC       | 0       | 0.00    | 0         | 0.00    | 352,661   | 4.00     | 352,661   | 4.00    |
| DIVISION DIRECTOR             | 0       | 0.00    | 0         | 0.00    | 87,124    | 1.00     | 87,124    | 1.00    |
| DESIGNATED PRINCIPAL ASST DIV | 0       | 0.00    | 0         | 0.00    | 191,809   | 3.00     | 191,809   | 3.00    |
| TOTAL - PS                    | 727,561 | 15.38   | 1,038,377 | 15.50   | 1,038,377 | 15.50    | 1,038,377 | 15.50   |
| TRAVEL, IN-STATE              | 48,249  | 0.00    | 55,915    | 0.00    | 56,782    | 0.00     | 56,782    | 0.00    |
| TRAVEL, OUT-OF-STATE          | 6,225   | 0.00    | 9,252     | 0.00    | 9,252     | 0.00     | 9,252     | 0.00    |
| FUEL & UTILITIES              | 124     | 0.00    | 0         | 0.00    | 0         | 0.00     | 0         | 0.00    |
| SUPPLIES                      | 17,936  | 0.00    | 16,131    | 0.00    | 16,131    | 0.00     | 16,131    | 0.00    |
| PROFESSIONAL DEVELOPMENT      | 21,083  | 0.00    | 14,900    | 0.00    | 14,900    | 0.00     | 14,900    | 0.00    |
| COMMUNICATION SERV & SUPP     | 8,239   | 0.00    | 11,011    | 0.00    | 8,122     | 0.00     | 8,122     | 0.00    |
| PROFESSIONAL SERVICES         | 2,827   | 0.00    | 5,286     | 0.00    | 5,286     | 0.00     | 5,286     | 0.00    |
| M&R SERVICES                  | 825     | 0.00    | 1,365     | 0.00    | 498       | 0.00     | 498       | 0.00    |
| COMPUTER EQUIPMENT            | 1,406   | 0.00    | 0         | 0.00    | 0         | 0.00     | 0         | 0.00    |
| OFFICE EQUIPMENT              | 6,924   | 0.00    | 3,198     | 0.00    | 3,198     | 0.00     | 3,198     | 0.00    |

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# **FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS**

# **DECISION ITEM DETAIL**

| Budget Unit                      | FY 2005          | FY 2005      | FY 2006            | FY 2006      | FY 2007            | FY 2007      | FY 2007            | FY 2007      |
|----------------------------------|------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|
| Decision Item                    | ACTUAL           | ACTUAL       | BUDGET             | BUDGET       | DEPT REQ           | DEPT REQ     | GOV REC            | GOV REC      |
| Budget Object Class              | DOLLAR           | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          |
| <b>DIVISION OF CREDIT UNIONS</b> |                  |              |                    |              |                    |              |                    |              |
| <b>CORE</b>                      |                  |              |                    |              |                    |              |                    |              |
| OTHER EQUIPMENT                  | 0                | 0.00         | 1,407              | 0.00         | 1,407              | 0.00         | 1,407              | 0.00         |
| REAL PROPERTY RENTALS & LEASES   | 566              | 0.00         | 1                  | 0.00         | 1                  | 0.00         | 1                  | 0.00         |
| EQUIPMENT RENTALS & LEASES       | 686              | 0.00         | 150                | 0.00         | 150                | 0.00         | 150                | 0.00         |
| MISCELLANEOUS EXPENSES           | 478              | 0.00         | 1,900              | 0.00         | 1,900              | 0.00         | 1,900              | 0.00         |
| REBILLABLE EXPENSES              | 1,974            | 0.00         | 6,148              | 0.00         | 6,148              | 0.00         | 6,148              | 0.00         |
| <b>TOTAL - EE</b>                | <b>117,542</b>   | <b>0.00</b>  | <b>126,664</b>     | <b>0.00</b>  | <b>123,775</b>     | <b>0.00</b>  | <b>123,775</b>     | <b>0.00</b>  |
| <b>GRAND TOTAL</b>               | <b>\$845,103</b> | <b>15.38</b> | <b>\$1,165,041</b> | <b>15.50</b> | <b>\$1,162,152</b> | <b>15.50</b> | <b>\$1,162,152</b> | <b>15.50</b> |
| GENERAL REVENUE                  | \$0              | 0.00         | \$0                | 0.00         | \$0                | 0.00         | \$0                | 0.00         |
| FEDERAL FUNDS                    | \$0              | 0.00         | \$0                | 0.00         | \$0                | 0.00         | \$0                | 0.00         |
| OTHER FUNDS                      | \$845,103        | 15.38        | \$1,165,041        | 15.50        | \$1,162,152        | 15.50        | \$1,162,152        | 15.50        |

## PROGRAM DESCRIPTION

Department of Economic Development

Program Name: Safety and Soundness of Credit Unions

Program is found in the following core budget(s): Credit Unions

**1. What does this program do?**

The Division of Credit Unions is the regulatory agency responsible for the examination, supervision, chartering, mergers and liquidations of state chartered credit unions in Missouri. The division also responds to all consumer complaints registered in regard to credit union services. The Division of Credit Unions has been accredited by the National Association of State Credit Union Supervisors since 1990.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

370, RSMo

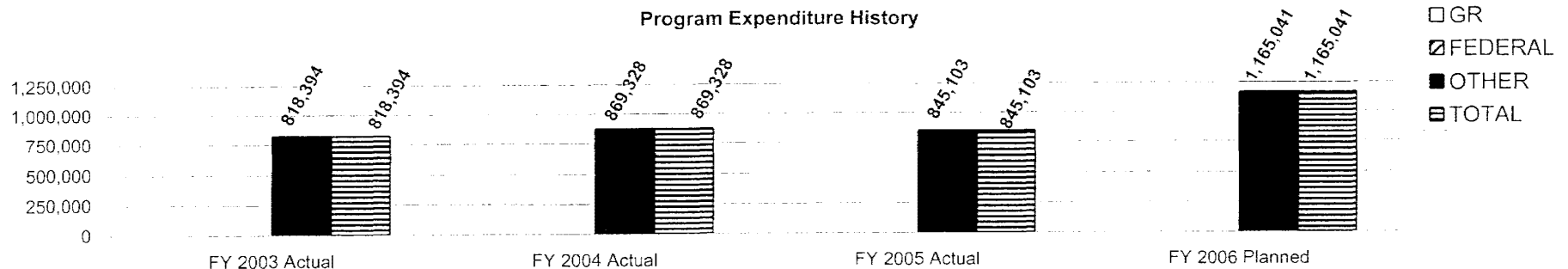
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Credit Union Funds (0548)

## PROGRAM DESCRIPTION

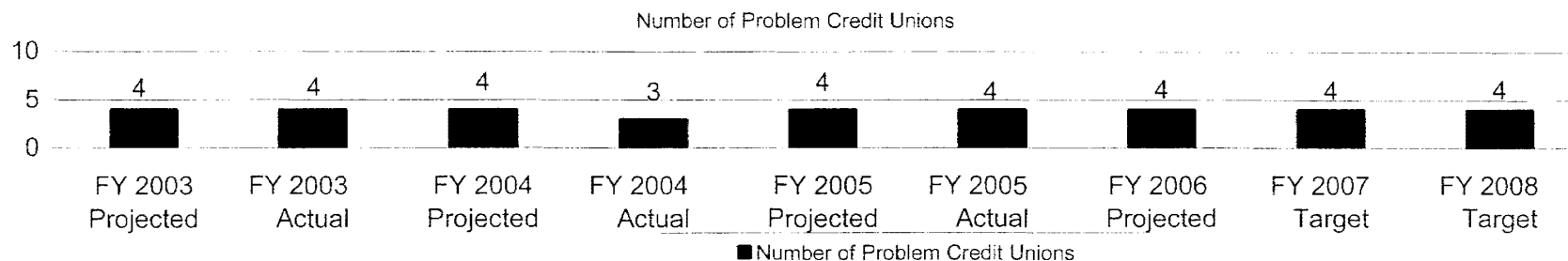
Department of Economic Development

Program Name: Safety and Soundness of Credit Unions

Program is found in the following core budget(s): Credit Unions

**7a. Provide an effectiveness measure.**

The number of "problem" credit unions



**7b. Provide an efficiency measure.**

Examinations Processed Within 30 Days

|                                       | FY 2003 |        | FY 2004 |        | FY 2005 |        | FY 2006 | FY 2007 | FY 2008 |
|---------------------------------------|---------|--------|---------|--------|---------|--------|---------|---------|---------|
|                                       | Proj.   | Actual | Proj.   | Actual | Proj.   | Actual | Proj.   | Target  | Target  |
| Percent of Exams processed in 30 days | 100%    | 100%   | 100%    | 100%   | 100%    | 100%   | 100%    | 100%    | 100%    |

**7c. Provide the number of clients/individuals served (if applicable)**

Credit Union Members

|                          | FY 2003 |        | FY 2004 |        | FY 2005 |        | FY 2006 | FY 2007 | FY 2008 |
|--------------------------|---------|--------|---------|--------|---------|--------|---------|---------|---------|
|                          | Proj.   | Actual | Proj.   | Actual | Proj.   | Actual | Proj.   | Target  | Target  |
| Number served (millions) | 1.2     | 1.2    | 1.2     | 1.2    | 1.2     | 1.2    | 1.3     | 1.3     | 1.3     |

## PROGRAM DESCRIPTION

**Department of Economic Development**

**Program Name: Safety and Soundness of Credit Unions**

**Program is found in the following core budget(s): Credit Unions**

**7d. Provide a customer satisfaction measure, if available.**

The Division of Credit Unions has annually surveyed our regulated credit unions to determine their overall satisfaction with our agency.

The most recent annual survey generated a 61% return rate. Beginning in 2004, credit unions have been surveyed after completion of the examination.

|  | FY 2003 |        | FY 2004 |        | FY 2005 |        | FY 2006 | FY 2007 | FY 2008 |
|--|---------|--------|---------|--------|---------|--------|---------|---------|---------|
|  | Proj.   | Actual | Proj.   | Actual | Proj.   | Actual | Proj.   | Target  | Target  |
| Percent of Credit Unions Reporting Satisfaction with the Division of Credit Unions | 95%     | 95%    | 95%     | 89%    | 95%     | 89%    | 95%     | 95%     | 95%     |



# **FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS**

# **DECISION ITEM SUMMARY**

| Budget Unit                                   |                    |              |                    |              |                    |              |                    |              |
|---|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|
| Decision Item                                 | FY 2005            | FY 2005      | FY 2006            | FY 2006      | FY 2007            | FY 2007      | FY 2007            | FY 2007      |
| Budget Object Summary                         | ACTUAL             | ACTUAL       | BUDGET             | BUDGET       | DEPT REQ           | DEPT REQ     | GOV REC            | GOV REC      |
| Fund  | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          |
| <b>DIVISION OF FINANCE</b>                    |                    |              |                    |              |                    |              |                    |              |
| <b>CORE</b>                                   |                    |              |                    |              |                    |              |                    |              |
| PERSONAL SERVICES                             |                    |              |                    |              |                    |              |                    |              |
| DIVISION OF FINANCE                           | 3,941,899          | 88.59        | 5,181,328          | 95.15        | 5,181,328          | 95.15        | 5,181,328          | 93.15        |
| TOTAL - PS                                    | 3,941,899          | 88.59        | 5,181,328          | 95.15        | 5,181,328          | 95.15        | 5,181,328          | 93.15        |
| EXPENSE & EQUIPMENT                           |                    |              |                    |              |                    |              |                    |              |
| DIVISION OF FINANCE                           | 790,757            | 0.00         | 804,354            | 0.00         | 756,858            | 0.00         | 756,858            | 0.00         |
| TOTAL - EE                                    | 790,757            | 0.00         | 804,354            | 0.00         | 756,858            | 0.00         | 756,858            | 0.00         |
| PROGRAM-SPECIFIC                              |                    |              |                    |              |                    |              |                    |              |
| DIVISION OF FINANCE                           | 800                | 0.00         | 1,000              | 0.00         | 1,000              | 0.00         | 1,000              | 0.00         |
| TOTAL - PD                                    | 800                | 0.00         | 1,000              | 0.00         | 1,000              | 0.00         | 1,000              | 0.00         |
| <b>TOTAL</b>                                  | <b>4,733,456</b>   | <b>88.59</b> | <b>5,986,682</b>   | <b>95.15</b> | <b>5,939,186</b>   | <b>95.15</b> | <b>5,939,186</b>   | <b>93.15</b> |
| <b>GENERAL STRUCTURE ADJUSTMENT - 0000012</b> |                    |              |                    |              |                    |              |                    |              |
| PERSONAL SERVICES                             |                    |              |                    |              |                    |              |                    |              |
| DIVISION OF FINANCE                           | 0                  | 0.00         | 0                  | 0.00         | 0                  | 0.00         | 207,253            | 0.00         |
| TOTAL - PS                                    | 0                  | 0.00         | 0                  | 0.00         | 0                  | 0.00         | 207,253            | 0.00         |
| <b>TOTAL</b>                                  | <b>0</b>           | <b>0.00</b>  | <b>0</b>           | <b>0.00</b>  | <b>0</b>           | <b>0.00</b>  | <b>207,253</b>     | <b>0.00</b>  |
| <b>GRAND TOTAL</b>                            | <b>\$4,733,456</b> | <b>88.59</b> | <b>\$5,986,682</b> | <b>95.15</b> | <b>\$5,939,186</b> | <b>95.15</b> | <b>\$6,146,439</b> | <b>93.15</b> |

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# CORE DECISION ITEM

|  |             |                |                  |                  |   |             |             |                  |                  |
|--|-------------|----------------|------------------|------------------|---|-------------|-------------|------------------|------------------|
| <b>Department: Economic Development</b>  |             |                |                  |                  | <b>Budget Unit</b> <u>42510C</u>  |             |             |                  |                  |
| <b>Division: Finance</b>   |             |                |                  |                  |   |             |             |                  |                  |
| <b>Core: Division of Finance</b>   |             |                |                  |                  |   |             |             |                  |                  |
| <b>1. CORE FINANCIAL SUMMARY</b>   |             |                |                  |                  |   |             |             |                  |                  |
| <b>FY 2007 Budget Request</b>  |             |                |                  |                  | <b>FY 2007 Governor's Recommendation</b>  |             |             |                  |                  |
|  | <b>GR</b>   | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     |   | <b>GR</b>   | <b>Fed</b>  | <b>Other</b>     | <b>Total</b>     |
| PS   | 0           | 0              | 5,181,328        | 5,181,328        | PS  | 0           | 0           | 5,181,328        | 5,181,328        |
| EE   | 0           | 0              | 756,858          | 756,858 E        | EE  | 0           | 0           | 756,858          | 756,858 E        |
| PSD  | 0           | 0              | 1,000            | 1,000            | PSD   | 0           | 0           | 1,000            | 1,000            |
| <b>Total</b>   | <b>0</b>    | <b>0</b>       | <b>5,939,186</b> | <b>5,939,186</b> | <b>Total</b>  | <b>0</b>    | <b>0</b>    | <b>5,939,186</b> | <b>5,939,186</b> |
| <b>FTE</b>   | <b>0.00</b> | <b>0.00</b>    | <b>95.15</b>     | <b>95.15</b>     | <b>FTE</b>  | <b>0.00</b> | <b>0.00</b> | <b>93.15</b>     | <b>93.15</b>     |
| <b>Est. Fringe</b>   | <b>0</b>    | <b>0</b>       | <b>2,533,151</b> | <b>2,533,151</b> | <b>Est. Fringe</b>  | <b>0</b>    | <b>0</b>    | <b>2,533,151</b> | <b>2,533,151</b> |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>   |             |                |                  |                  | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>  |             |             |                  |                  |
| Other Funds: Finance Fund (0550)   |             |                |                  |                  | Other Funds: Finance Fund (0550)  |             |             |                  |                  |
| Notes: An "E" is requested for \$50,000 E&E for Out-of-state Examinations. Transfer to HB13 (\$19,298). Transfer to IT approps (\$28,198).   |             |                |                  |                  | Notes: An "E" is requested for \$50,000 E&E for Out-of-state Examinations. Transfer to HB13 (\$19,298). Transfer to IT approps (\$28,198). Gov Rec core reduction (2.00) FTE. |             |             |                  |                  |
| <b>2. CORE DESCRIPTION</b>   |             |                |                  |                  |   |             |             |                  |                  |
| <p>The fundamental goal of the Division of Finance is to protect depositor's funds in state chartered financial institutions and to ensure a sound banking system for Missouri. The health and vitality of Missouri's banking industry is critical to the state's economic well-being. Bank failures have a negative impact on a state's economy and threaten the public's confidence in the banking system. Therefore, it is important that Missouri citizens have confidence that the money they deposit in the state's financial institutions will be safe. The Division of Finance helps to ensure the safety and soundness of Missouri's financial institutions through the chartering and regulation of state chartered banks, trust companies, and savings and loan associations. The division also licenses and regulates consumer credit companies, credit service organizations, money order companies, and residential mortgage brokers. Statutes require that each state bank, trust company, and savings and loan association be examined for safety and soundness at least every 18 months. Consumer credit companies as well as banks are examined periodically for compliance with statutes and regulations governing finance charges and credit insurance fees.</p> |             |                |                  |                  |   |             |             |                  |                  |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>  |             |                |                  |                  |   |             |             |                  |                  |
| Bank & Trust Company Regulation  |             |                |                  |                  |   |             |             |                  |                  |
| Consumer Credit Licensing and Regulation   |             |                |                  |                  |   |             |             |                  |                  |



# CORE DECISION ITEM

Department: Economic Development

Budget Unit 42510C

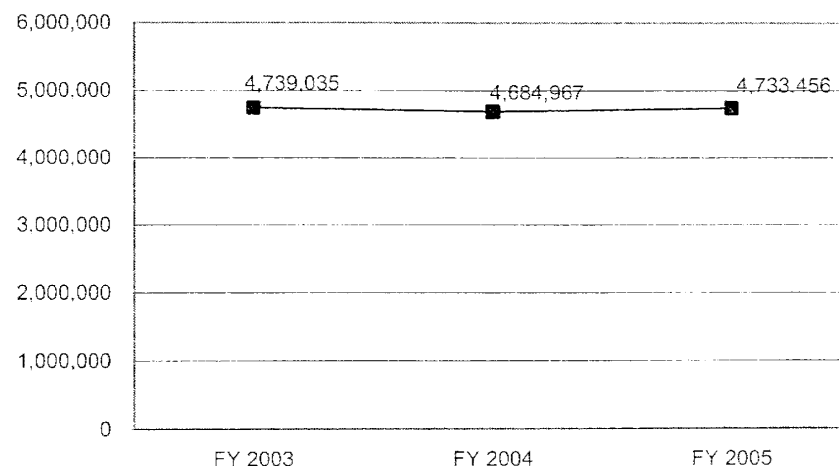
Division: Finance

Core: Division of Finance

## 4. FINANCIAL HISTORY

|                                 | FY 2003<br>Actual | FY 2004<br>Actual | FY 2005<br>Actual | FY 2006<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 5,115,348         | 5,066,681         | 5,100,509         | 5,986,682 E            |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | N/A                    |
| Budget Authority (All Funds)    | 5,115,348         | 5,066,681         | 5,100,509         | N/A                    |
| Actual Expenditures (All Funds) | 4,739,035         | 4,684,967         | 4,733,456         | N/A                    |
| Unexpended (All Funds)          | 376,313           | 381,714           | 367,053           | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 376,313           | 381,714           | 367,053           | N/A                    |
|                                 | (1)               | (2)               | (3)               | (4)                    |

Actual Expenditures (All Funds)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1) Lapse of \$376,313 is largely excess Personal Service dollars due to excessive turnover.
- (2) Lapse of \$381,714 is largely excess Personal Service dollars due to excessive turnover.
- (3) Lapse of \$367,053 is largely excess Personal Service dollars due to excessive turnover.
- (4) \$50,000 estimated appropriation for out-state exams

# CORE RECONCILIATION

DEPARTMENT OF ECONOMIC DEVELOPMENT  
DIVISION OF FINANCE

## 5. CORE RECONCILIATION

|  | Budget<br>Class | FTE    | GR | Federal | Other     | Total     | Explanation   |
|--|-----------------|--------|----|---------|-----------|-----------|---|
| TAFP AFTER VETOES                      |                 |        |    |         |           |           |   |
|  | PS              | 95.15  | 0  | 0       | 5,181,328 | 5,181,328 |   |
|  | EE              | 0.00   | 0  | 0       | 804,354   | 804,354   |   |
|  | PD              | 0.00   | 0  | 0       | 1,000     | 1,000     |   |
|  | Total           | 95.15  | 0  | 0       | 5,986,682 | 5,986,682 |   |
| DEPARTMENT CORE ADJUSTMENTS            |                 |        |    |         |           |           |   |
| Transfer Out                           | [#165] EE       | 0.00   | 0  | 0       | (19,298)  | (19,298)  | DED JANITORIAL & UTILITY COSTS FOR LEASED FACILITIES TRANSFER TO HB13 (BOC 180 \$9,545 BOC 420 \$9,753) |
| Core Reallocation                      | [#1092] EE      | 0.00   | 0  | 0       | (28,198)  | (28,198)  | DED DIVISIONS TRANSFER OF IT E&E FROM NON-IT APPROPS TO DED IT CONSOL                                   |
| NET DEPARTMENT CHANGES                 |                 | 0.00   | 0  | 0       | (47,496)  | (47,496)  |   |
| DEPARTMENT CORE REQUEST                |                 |        |    |         |           |           |   |
|  | PS              | 95.15  | 0  | 0       | 5,181,328 | 5,181,328 |   |
|  | EE              | 0.00   | 0  | 0       | 756,858   | 756,858   |   |
|  | PD              | 0.00   | 0  | 0       | 1,000     | 1,000     |   |
|  | Total           | 95.15  | 0  | 0       | 5,939,186 | 5,939,186 |   |
| GOVERNOR'S ADDITIONAL CORE ADJUSTMENTS |                 |        |    |         |           |           |   |
| Core Reduction                         | [#3129] PS      | (2.00) | 0  | 0       | 0         | 0         | FTE core reduction part of core cut exercise  |
| NET GOVERNOR CHANGES                   |                 | (2.00) | 0  | 0       | 0         | 0         |   |
| GOVERNOR'S RECOMMENDED CORE            |                 |        |    |         |           |           |   |
|  | PS              | 93.15  | 0  | 0       | 5,181,328 | 5,181,328 |   |
|  | EE              | 0.00   | 0  | 0       | 756,858   | 756,858   |   |

# CORE RECONCILIATION

DEPARTMENT OF ECONOMIC DEVELOPMENT  
DIVISION OF FINANCE

## 5. CORE RECONCILIATION

|                             | Budget<br>Class | FTE   | GR | Federal | Other     | Total     | Explanation |
|-----------------------------|-----------------|-------|----|---------|-----------|-----------|-------------|
| GOVERNOR'S RECOMMENDED CORE |                 |       |    |         |           |           |             |
|                             | PD              | 0.00  | 0  | 0       | 1,000     | 1,000     |             |
|                             | Total           | 93.15 | 0  | 0       | 5,939,186 | 5,939,186 |             |

# FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS

# DECISION ITEM DETAIL

| Budget Unit                    | FY 2005 | FY 2005 | FY 2006   | FY 2006 | FY 2007  | FY 2007  | FY 2007 | FY 2007 |
|--------------------------------|---------|---------|-----------|---------|----------|----------|---------|---------|
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ | DEPT REQ | GOV REC | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR    | FTE     | DOLLAR   | FTE      | DOLLAR  | FTE     |
| DIVISION OF FINANCE            |         |         |           |         |          |          |         |         |
| CORE                           |         |         |           |         |          |          |         |         |
| CLERK I                        | 9,749   | 0.48    | 11,493    | 0.50    | 0        | 0.00     | 0       | 0.00    |
| ADMIN OFFICE SUPPORT ASSISTANT | 22,340  | 0.83    | 0         | 0.00    | 0        | 0.00     | 0       | 0.00    |
| OFFICE SUPPORT ASST (KEYBRD)   | 17,449  | 0.85    | 44,544    | 4.00    | 0        | 0.00     | 0       | 0.00    |
| SR OFC SUPPORT ASST (KEYBRD)   | 72,912  | 3.04    | 99,936    | 4.00    | 0        | 0.00     | 0       | 0.00    |
| COMPUTER INFO TECHNOLOGIST III | 39,238  | 1.00    | 0         | 0.00    | 0        | 0.00     | 0       | 0.00    |
| ACCOUNT CLERK II               | 28,066  | 1.00    | 28,116    | 1.00    | 0        | 0.00     | 0       | 0.00    |
| ACCOUNTANT II                  | 28,000  | 0.86    | 37,812    | 1.00    | 0        | 0.00     | 0       | 0.00    |
| FINANCIAL EXAM ASST I          | 542,460 | 17.42   | 480,060   | 15.00   | 0        | 0.00     | 0       | 0.00    |
| FINANCIAL EXAM ASST II         | 276,236 | 7.72    | 364,440   | 10.00   | 0        | 0.00     | 0       | 0.00    |
| FINANCIAL EXAMINER             | 254,628 | 6.04    | 356,064   | 8.00    | 0        | 0.00     | 0       | 0.00    |
| SENIOR FINANCIAL EXAMINER      | 884,700 | 16.66   | 875,539   | 17.00   | 0        | 0.00     | 0       | 0.00    |
| FINANCIAL EXAMINER SPEC        | 226,377 | 4.00    | 225,664   | 5.00    | 0        | 0.00     | 0       | 0.00    |
| TRUST EXAM ASST II             | 36,141  | 1.00    | 37,812    | 1.00    | 0        | 0.00     | 0       | 0.00    |
| TRUST EXAMINER                 | 41,328  | 1.00    | 48,300    | 1.00    | 0        | 0.00     | 0       | 0.00    |
| SENIOR TRUST EXAMINER          | 54,220  | 1.00    | 54,684    | 1.00    | 0        | 0.00     | 0       | 0.00    |
| CONSUMER CREDIT EXAM ASST I    | 71,609  | 2.29    | 64,008    | 2.00    | 0        | 0.00     | 0       | 0.00    |
| CONSUMER CREDIT EXAM ASST II   | 0       | 0.00    | 37,812    | 1.00    | 0        | 0.00     | 0       | 0.00    |
| CONSUMER CREDIT EXAMINER       | 131,989 | 3.21    | 125,748   | 3.00    | 0        | 0.00     | 0       | 0.00    |
| SR CONSUMER CREDIT EXAMINER    | 218,682 | 4.15    | 267,132   | 5.00    | 0        | 0.00     | 0       | 0.00    |
| ECONOMIC DEV REGULATORY MGR B2 | 454,961 | 7.00    | 457,532   | 7.00    | 0        | 0.00     | 0       | 0.00    |
| DIVISION DIRECTOR              | 82,918  | 1.00    | 82,968    | 1.00    | 0        | 0.00     | 0       | 0.00    |
| DEPUTY DIVISION DIRECTOR       | 78,821  | 1.00    | 81,534    | 1.00    | 0        | 0.00     | 0       | 0.00    |
| DESIGNATED PRINCIPAL ASST DIV  | 162,386 | 2.16    | 153,612   | 2.00    | 0        | 0.00     | 0       | 0.00    |
| CHIEF COUNSEL                  | 68,750  | 1.00    | 72,648    | 1.00    | 0        | 0.00     | 0       | 0.00    |
| COMMISSION MEMBER              | 0       | 0.00    | 2,143     | 0.00    | 2,143    | 0.00     | 2,143   | 0.00    |
| BOARD MEMBER                   | 0       | 0.00    | 4,180     | 0.15    | 0        | 0.00     | 0       | 0.00    |
| TYPIST                         | 9,978   | 0.39    | 12,000    | 0.00    | 0        | 0.00     | 0       | 0.00    |
| MISCELLANEOUS PROFESSIONAL     | 19,910  | 0.37    | 24,927    | 0.50    | 0        | 0.00     | 0       | 0.00    |
| PRINCIPAL ASST BOARD/COMMISSON | 108,051 | 2.92    | 127,210   | 3.00    | 0        | 0.00     | 0       | 0.00    |
| OTHER                          | 0       | 0.00    | 1,003,410 | 0.00    | 0        | 0.00     | 0       | 0.00    |
| CLERK I                        | 0       | 0.00    | 0         | 0.00    | 11,493   | 0.50     | 11,493  | 0.50    |
| ADMIN OFFICE SUPPORT ASSISTANT | 0       | 0.00    | 0         | 0.00    | 28,260   | 1.00     | 28,260  | 1.00    |

# **FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS**

# **DECISION ITEM DETAIL**

| Budget Unit                    | FY 2005          | FY 2005      | FY 2006          | FY 2006      | FY 2007          | FY 2007      | FY 2007          | FY 2007      |
|--------------------------------|------------------|--------------|------------------|--------------|------------------|--------------|------------------|--------------|
| Decision Item                  | ACTUAL           | ACTUAL       | BUDGET           | BUDGET       | DEPT REQ         | DEPT REQ     | GOV REC          | GOV REC      |
| Budget Object Class            | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR           | FTE          |
| <b>DIVISION OF FINANCE</b>     |                  |              |                  |              |                  |              |                  |              |
| <b>CORE</b>                    |                  |              |                  |              |                  |              |                  |              |
| OFFICE SUPPORT ASST (KEYBRD)   | 0                | 0.00         | 0                | 0.00         | 44,544           | 2.00         | 44,544           | 1.00         |
| SR OFC SUPPORT ASST (KEYBRD)   | 0                | 0.00         | 0                | 0.00         | 83,436           | 3.00         | 83,436           | 3.00         |
| SENIOR ACCOUNTING CLERK        | 0                | 0.00         | 0                | 0.00         | 28,116           | 1.00         | 28,116           | 1.00         |
| ACCOUNTANT II                  | 0                | 0.00         | 0                | 0.00         | 39,288           | 1.00         | 39,288           | 1.00         |
| ASSISTANT BANK EXAMINER        | 0                | 0.00         | 0                | 0.00         | 440,319          | 12.00        | 440,319          | 12.00        |
| SR ASST BANK EXAMINER          | 0                | 0.00         | 0                | 0.00         | 556,887          | 12.00        | 556,887          | 12.00        |
| BANK EXAMINER                  | 0                | 0.00         | 0                | 0.00         | 534,585          | 9.00         | 534,585          | 8.00         |
| SENIOR BANK EXAMINER           | 0                | 0.00         | 0                | 0.00         | 1,277,700        | 19.00        | 1,277,700        | 19.00        |
| REVIEW EXAMINER                | 0                | 0.00         | 0                | 0.00         | 271,374          | 4.00         | 271,374          | 4.00         |
| TRUST EXAMINER                 | 0                | 0.00         | 0                | 0.00         | 115,844          | 2.00         | 115,844          | 2.00         |
| SENIOR TRUST EXAMINER          | 0                | 0.00         | 0                | 0.00         | 65,771           | 1.00         | 65,771           | 1.00         |
| TRUST SUPERVISOR               | 0                | 0.00         | 0                | 0.00         | 72,254           | 1.00         | 72,254           | 1.00         |
| DISTRICT SUPERVISOR            | 0                | 0.00         | 0                | 0.00         | 391,859          | 5.00         | 391,859          | 5.00         |
| SUPERVISOR OF SAVINGS & LOAN   | 0                | 0.00         | 0                | 0.00         | 75,394           | 1.00         | 75,394           | 1.00         |
| REPORT ANALYST                 | 0                | 0.00         | 0                | 0.00         | 32,004           | 1.00         | 32,004           | 1.00         |
| ASST CONSUMER CREDIT EXAMINER  | 0                | 0.00         | 0                | 0.00         | 139,424          | 4.00         | 139,424          | 4.00         |
| SR ASST CONSUMER CREDIT EXAM   | 0                | 0.00         | 0                | 0.00         | 44,932           | 1.00         | 44,932           | 1.00         |
| CONSUMER CREDIT EXAMINER       | 0                | 0.00         | 0                | 0.00         | 57,923           | 1.00         | 57,923           | 1.00         |
| SR CONSUMER CREDIT EXAMINER    | 0                | 0.00         | 0                | 0.00         | 263,082          | 4.00         | 263,082          | 4.00         |
| DIVISION DIRECTOR              | 0                | 0.00         | 0                | 0.00         | 90,317           | 1.00         | 90,317           | 1.00         |
| DEPUTY DIVISION DIRECTOR       | 0                | 0.00         | 0                | 0.00         | 84,871           | 1.00         | 84,871           | 1.00         |
| DESIGNATED PRINCIPAL ASST DIV  | 0                | 0.00         | 0                | 0.00         | 150,042          | 2.00         | 150,042          | 2.00         |
| CHIEF COUNSEL                  | 0                | 0.00         | 0                | 0.00         | 76,149           | 1.00         | 76,149           | 1.00         |
| BOARD MEMBER                   | 0                | 0.00         | 0                | 0.00         | 4,180            | 0.15         | 4,180            | 0.15         |
| TYPIST                         | 0                | 0.00         | 0                | 0.00         | 12,000           | 0.50         | 12,000           | 0.50         |
| MISCELLANEOUS PROFESSIONAL     | 0                | 0.00         | 0                | 0.00         | 59,927           | 1.00         | 59,927           | 1.00         |
| PRINCIPAL ASST BOARD/COMMISSON | 0                | 0.00         | 0                | 0.00         | 127,210          | 3.00         | 127,210          | 3.00         |
| <b>TOTAL - PS</b>              | <b>3,941,899</b> | <b>88.59</b> | <b>5,181,328</b> | <b>95.15</b> | <b>5,181,328</b> | <b>95.15</b> | <b>5,181,328</b> | <b>93.15</b> |
| TRAVEL, IN-STATE               | 307,350          | 0.00         | 348,910          | 0.00         | 336,842          | 0.00         | 336,842          | 0.00         |
| TRAVEL, OUT-OF-STATE           | 71,741           | 0.00         | 100,544          | 0.00         | 96,544           | 0.00         | 96,544           | 0.00         |
| FUEL & UTILITIES               | 11,987           | 0.00         | 20,935           | 0.00         | 0                | 0.00         | 0                | 0.00         |
| SUPPLIES                       | 69,506           | 0.00         | 69,205           | 0.00         | 69,110           | 0.00         | 69,110           | 0.00         |

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# FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS

# DECISION ITEM DETAIL

| Budget Unit                    | FY 2005     | FY 2005 | FY 2006     | FY 2006 | FY 2007     | FY 2007  | FY 2007     | FY 2007 |
|--------------------------------|-------------|---------|-------------|---------|-------------|----------|-------------|---------|
| Decision Item                  | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Budget Object Class            | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| DIVISION OF FINANCE            |             |         |             |         |             |          |             |         |
| CORE                           |             |         |             |         |             |          |             |         |
| PROFESSIONAL DEVELOPMENT       | 87,298      | 0.00    | 88,912      | 0.00    | 98,110      | 0.00     | 98,110      | 0.00    |
| COMMUNICATION SERV & SUPP      | 48,087      | 0.00    | 47,611      | 0.00    | 44,351      | 0.00     | 44,351      | 0.00    |
| PROFESSIONAL SERVICES          | 43,499      | 0.00    | 68,850      | 0.00    | 68,850      | 0.00     | 68,850      | 0.00    |
| JANITORIAL SERVICES            | 13,104      | 0.00    | 20,016      | 0.00    | 0           | 0.00     | 0           | 0.00    |
| M&R SERVICES                   | 28,924      | 0.00    | 15,100      | 0.00    | 10,530      | 0.00     | 10,530      | 0.00    |
| COMPUTER EQUIPMENT             | 69,115      | 0.00    | 0           | 0.00    | 0           | 0.00     | 0           | 0.00    |
| MOTORIZED EQUIPMENT            | 0           | 0.00    | 1           | 0.00    | 1           | 0.00     | 1           | 0.00    |
| OFFICE EQUIPMENT               | 24,421      | 0.00    | 12,000      | 0.00    | 16,250      | 0.00     | 16,250      | 0.00    |
| OTHER EQUIPMENT                | 8,867       | 0.00    | 3,950       | 0.00    | 8,950       | 0.00     | 8,950       | 0.00    |
| REAL PROPERTY RENTALS & LEASES | 1,078       | 0.00    | 1           | 0.00    | 1           | 0.00     | 1           | 0.00    |
| EQUIPMENT RENTALS & LEASES     | 664         | 0.00    | 500         | 0.00    | 500         | 0.00     | 500         | 0.00    |
| MISCELLANEOUS EXPENSES         | 5,116       | 0.00    | 6,319       | 0.00    | 6,319       | 0.00     | 6,319       | 0.00    |
| REBILLABLE EXPENSES            | 0           | 0.00    | 1,500       | 0.00    | 500         | 0.00     | 500         | 0.00    |
| TOTAL - EE                     | 790,757     | 0.00    | 804,354     | 0.00    | 756,858     | 0.00     | 756,858     | 0.00    |
| REFUNDS                        | 800         | 0.00    | 1,000       | 0.00    | 1,000       | 0.00     | 1,000       | 0.00    |
| TOTAL - PD                     | 800         | 0.00    | 1,000       | 0.00    | 1,000       | 0.00     | 1,000       | 0.00    |
| GRAND TOTAL                    | \$4,733,456 | 88.59   | \$5,986,682 | 95.15   | \$5,939,186 | 95.15    | \$5,939,186 | 93.15   |
| GENERAL REVENUE                | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00    |
| FEDERAL FUNDS                  | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00    |
| OTHER FUNDS                    | \$4,733,456 | 88.59   | \$5,986,682 | 95.15   | \$5,939,186 | 95.15    | \$5,939,186 | 93.15   |

## PROGRAM DESCRIPTION

Department of Economic Development - Division of Finance

Bank and Trust Company Regulation

Program is found in the following core budget(s): Finance

**1. What does this program do?**

This program is responsible for the chartering, regulation and licensing of Missouri state-chartered banks, trust companies, savings and loan associations, and mortgage broker companies.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Chapters 361, 362 and 443 RSMo.

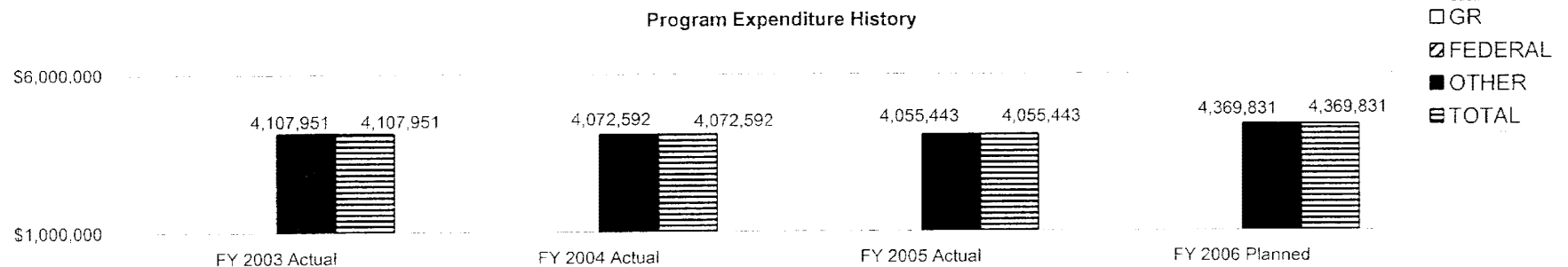
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Assessments and license fees paid by the institutions regulated to the Finance Fund (0550).

## PROGRAM DESCRIPTION

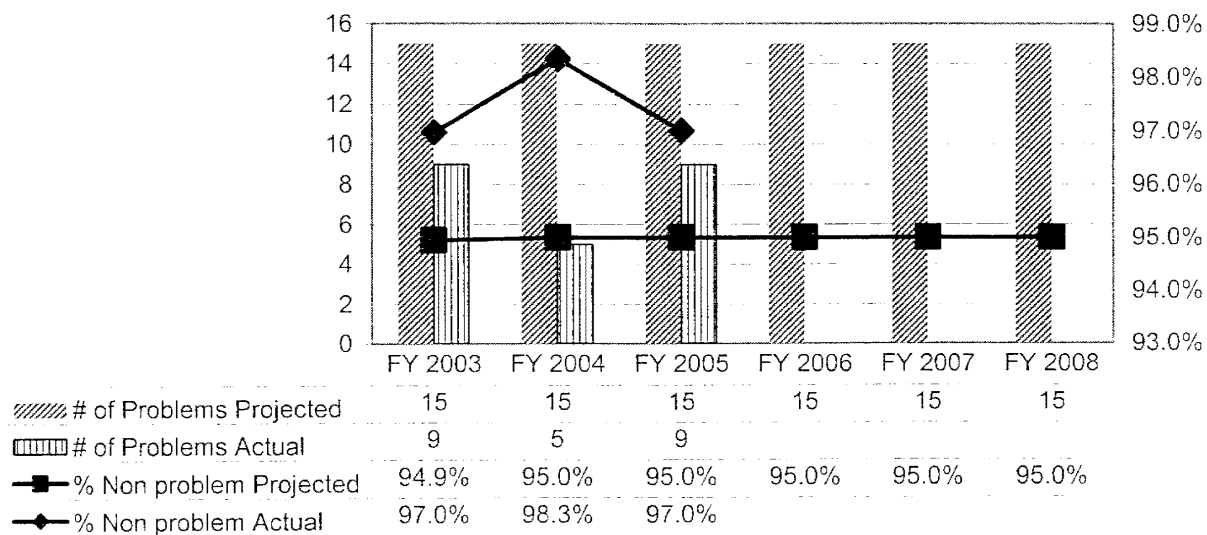
Department of Economic Development - Division of Finance

Bank and Trust Company Regulation

Program is found in the following core budget(s): Finance

**7a. Provide an effectiveness measure.**

Number/percentage of non-problem institutions. The Division has a goal of maintaining no more than 15 problem institutions, thus 2006, 2007, & 2008 projections are limits, rather than actual projections.





## PROGRAM DESCRIPTION

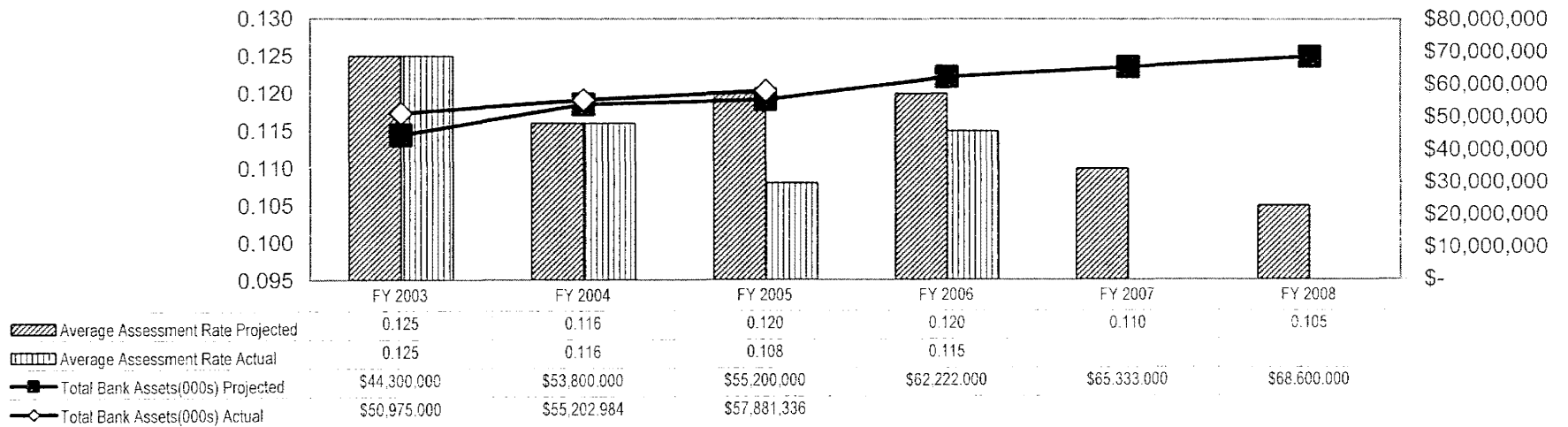
Department of Economic Development - Division of Finance

Bank and Trust Company Regulation

Program is found in the following core budget(s): Finance

**7b. Provide an efficiency measure.**

Average Assessment Rate has declined dramatically since FY98, when it was \$0.199. This reduction reflects efficiencies attained as well as asset growth in Missouri state chartered banks.



## PROGRAM DESCRIPTION

Department of Economic Development - Division of Finance

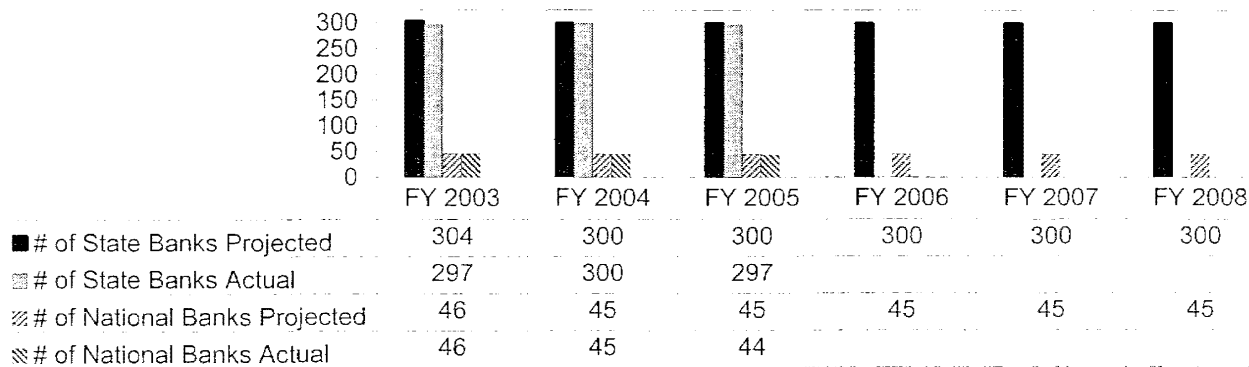
Bank and Trust Company Regulation

Program is found in the following core budget(s): Finance

**7c. Provide the number of clients/individuals served, if applicable.**

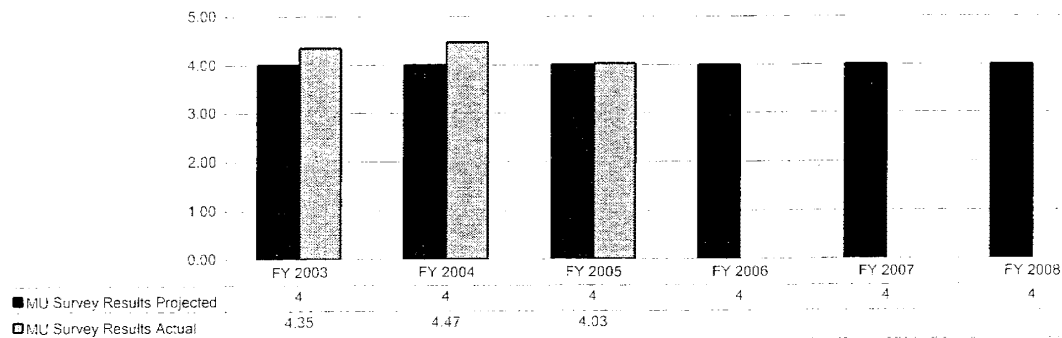
The number of state chartered banks is also indicative of customer satisfaction, as a bank may choose its primary regulator (state or national) via choice of charter.

**Number of State chartered vs. Nationally chartered banks**



**7d. Provide a customer satisfaction measure, if available.**

The Division of Finance has contracted with the University of Missouri to conduct a post-examination survey of customer satisfaction. The survey is extensive and includes an overall rating on a scale of 1(poor) to 5(excellent). FY2006, 2007 and 2008 Planned data reflects the Division goal of a minimum '4' rating overall.



## PROGRAM DESCRIPTION

Department of Economic Development - Division of Finance

Consumer Credit Licensing and Regulation

Program is found in the following core budget(s): Finance

**1. What does this program do?**

This program is responsible for the licensing and regulation of various consumer credit outlets which include finance companies, pay day loan companies, consumer installment lender companies, and title loan companies.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Chapters 364, 365, 367, 369 and 408, RSMo.

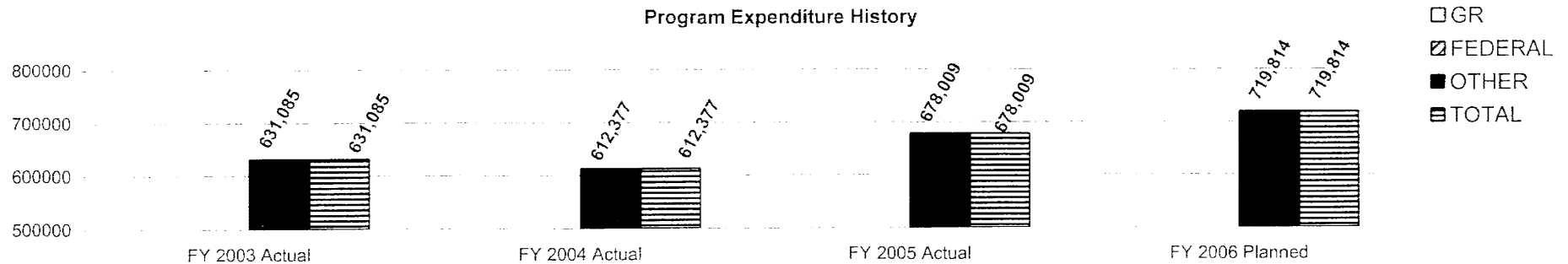
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

License fees paid by the entities regulated to the Finance Fund (0550).

## PROGRAM DESCRIPTION

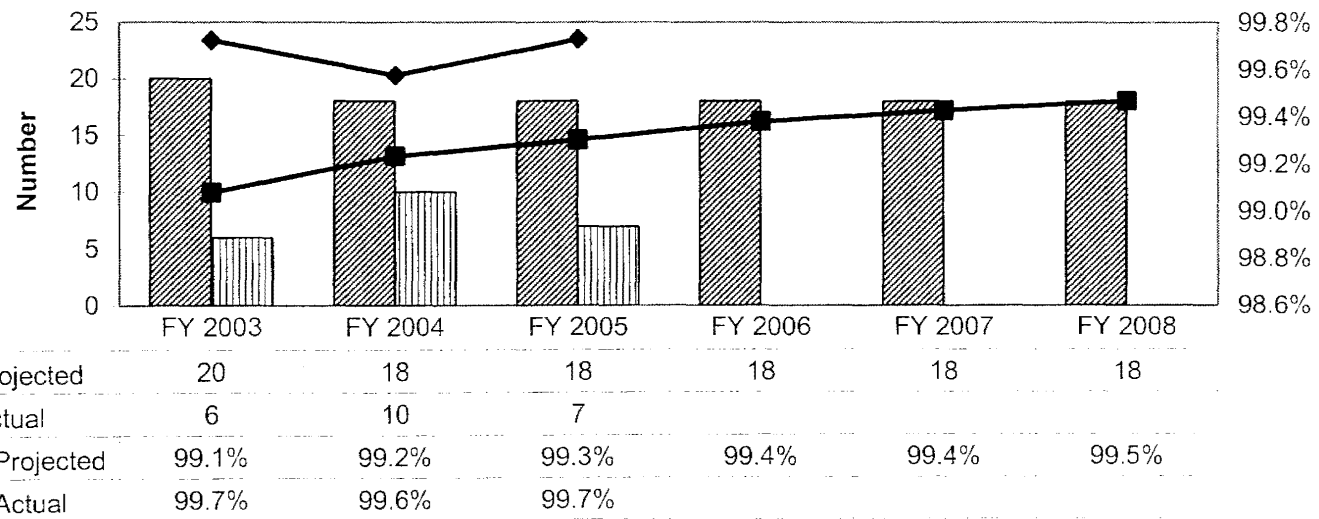
Department of Economic Development - Division of Finance

Consumer Credit Licensing and Regulation

Program is found in the following core budget(s): Finance

**7a. Provide an effectiveness measure.**

The number of non-problem (compliant) licensees could be described as an indicator of the effectiveness of educational efforts by the Division of Finance examination force. 'Projected # of problems' represents the Division's desired maximum, rather than a projection.



## PROGRAM DESCRIPTION

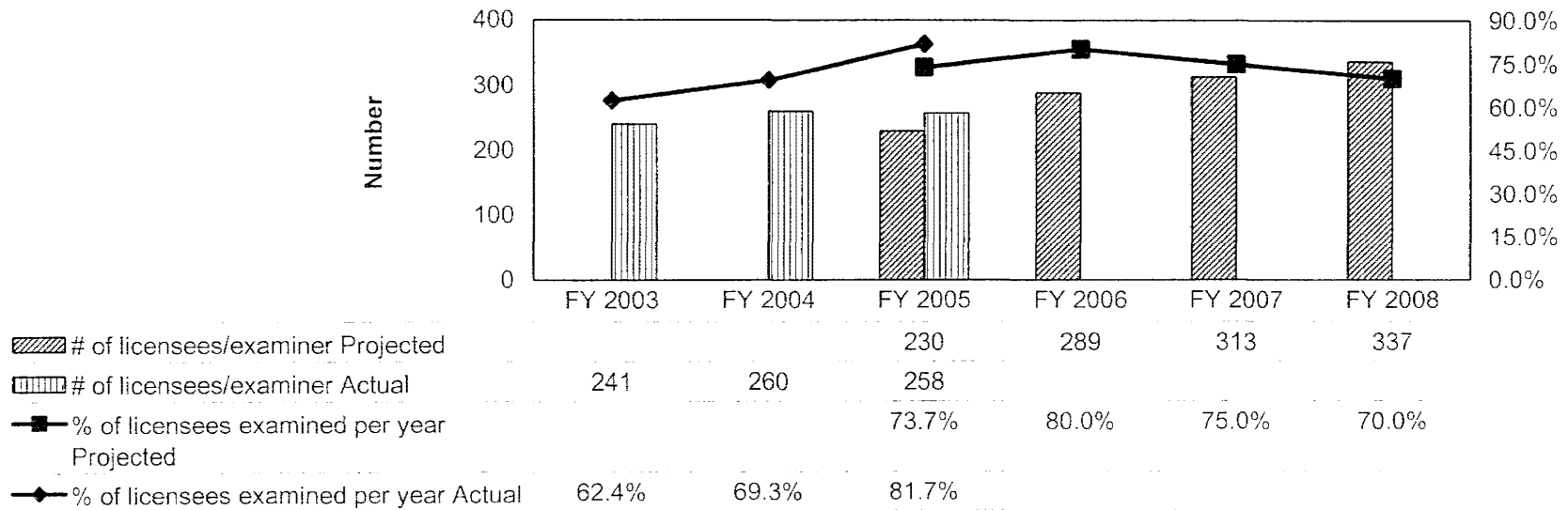
Department of Economic Development - Division of Finance

Consumer Credit Licensing and Regulation

Program is found in the following core budget(s): Finance

**7b. Provide an efficiency measure.**

Examiners have become more efficient as the number of licensees has risen, but these efficiencies are believed to have reached physical limits. Projections for prior years are not available.



## PROGRAM DESCRIPTION

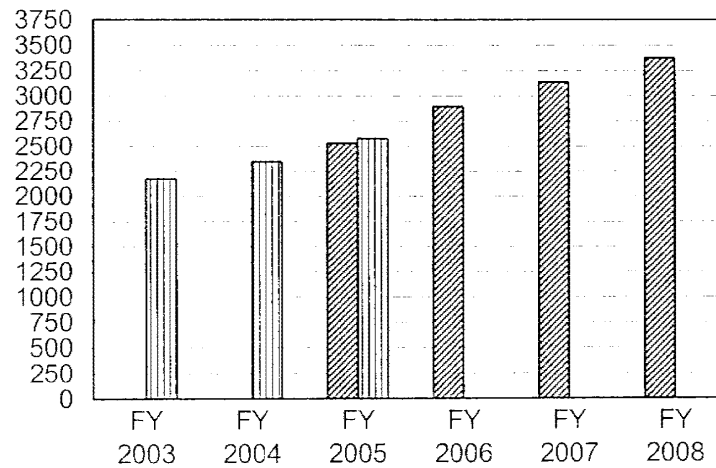
Department of Economic Development - Division of Finance



Consumer Credit Licensing and Regulation

Program is found in the following core budget(s): Finance

7c. Provide the number of clients/individuals served, if applicable.

Projections for prior years are not available.



|   |      |      |      |      |      |      |
|---|------|------|------|------|------|------|
|  # of licensees Projected |      |      | 2528 | 2894 | 3134 | 3374 |
|  # of licensees Actual   | 2170 | 2344 | 2576 |      |      |      |

7d. Provide a customer satisfaction measure, if available.

Not available

# **FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS**

# **DECISION ITEM SUMMARY**

| Budget Unit                    |  | FY 2005  |      | FY 2006  |      | FY 2007  |      | FY 2007  |      |
|--------------------------------|--|----------|------|----------|------|----------|------|----------|------|
| Decision Item                  |  | ACTUAL   |      | BUDGET   |      | DEPT REQ |      | GOV REC  |      |
| Budget Object Summary          |  | ACTUAL   |      | BUDGET   |      | DEPT REQ |      | GOV REC  |      |
| Fund                           |  | DOLLAR   |      | DOLLAR   |      | DOLLAR   |      | DOLLAR   |      |
|                                |  | FTE      |      | FTE      |      | FTE      |      | FTE      |      |
| SAVINGS & LOAN-TRANSFER        |  |          |      |          |      |          |      |          |      |
| CORE                           |  |          |      |          |      |          |      |          |      |
| FUND TRANSFERS                 |  |          |      |          |      |          |      |          |      |
| DIV SAVINGS & LOAN SUPERVISION |  | 16,356   | 0.00 | 39,400   | 0.00 | 39,400   | 0.00 | 39,400   | 0.00 |
| TOTAL - TRF                    |  | 16,356   | 0.00 | 39,400   | 0.00 | 39,400   | 0.00 | 39,400   | 0.00 |
| TOTAL                          |  | 16,356   | 0.00 | 39,400   | 0.00 | 39,400   | 0.00 | 39,400   | 0.00 |
| GRAND TOTAL                    |  | \$16,356 | 0.00 | \$39,400 | 0.00 | \$39,400 | 0.00 | \$39,400 | 0.00 |

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# CORE DECISION ITEM

|   |          |          |          |            |  |          |          |          |            |
|---|----------|----------|----------|------------|--|----------|----------|----------|------------|
| Department: <u>Economic Development</u>   |          |          |          |            | Budget Unit <u>42520C</u>  |          |          |          |            |
| Division: <u>Finance</u>  |          |          |          |            |  |          |          |          |            |
| Core: <u>Division of Savings &amp; Loan Supervision Fund Transfer to Finance Fund</u>   |          |          |          |            |  |          |          |          |            |
| <b>1. CORE FINANCIAL SUMMARY</b>  |          |          |          |            |  |          |          |          |            |
| FY 2007 Budget Request  |          |          |          |            | FY 2007 Governor's Recommendation  |          |          |          |            |
|   | GR       | Federal  | Other    | Total      |  | GR       | Fed      | Other    | Total      |
| PS  | 0        | 0        | 0        | 0          | PS   | 0        | 0        | 0        | 0          |
| EE  | 0        | 0        | 0        | 0          | EE   | 0        | 0        | 0        | 0          |
| PSD   | 0        | 0        | 0        | 0          | PSD  | 0        | 0        | 0        | 0          |
| <b>Total</b>  | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b>   | <b>Total</b>   | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b>   |
| Transfer  |          |          | \$39,400 | \$39,400 E | Transfer   |          |          | \$39,400 | \$39,400 E |
| FTE   | 0.00     | 0.00     | 0.00     | 0.00       | FTE  | 0.00     | 0.00     | 0.00     | 0.00       |
| <b>Est. Fringe</b>  | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b>   | <b>Est. Fringe</b>   | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b>   |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>  |          |          |          |            | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |          |          |          |            |
| Other Funds: Savings & Loan Supervision Fund (0549)   |          |          |          |            | Other Funds: Savings & Loan Supervision Fund (0549)  |          |          |          |            |
| Notes: An "E" is requested to allow for the transfer of funds for actual costs of supervision.  |          |          |          |            | Notes: An "E" is requested to allow for the transfer of funds for actual costs of supervision.   |          |          |          |            |
| <b>2. CORE DESCRIPTION</b>  |          |          |          |            |  |          |          |          |            |
| This transfer provides funds to the Division of Finance Fund from the Savings & Loan Supervision Fund to meet the salaries and expenses of the Division of Finance in administering laws pertaining to savings and loan associations. |          |          |          |            |  |          |          |          |            |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>   |          |          |          |            |  |          |          |          |            |
| Savings & Loan Supervision Transfer   |          |          |          |            |  |          |          |          |            |



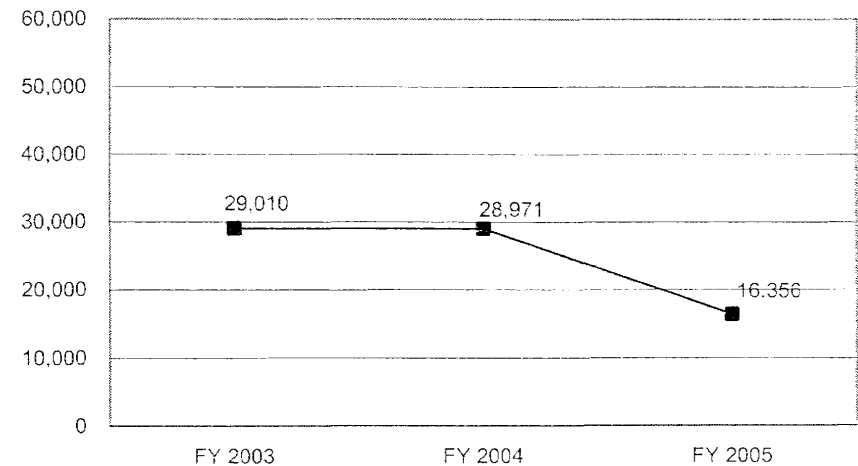
# CORE DECISION ITEM

Department: Economic Development Budget Unit 42520C  
 Division: Finance  
 Core: Division of Savings & Loan Supervision Fund Transfer to Finance Fund

## 4. FINANCIAL HISTORY

|                                 | FY 2003<br>Actual | FY 2004<br>Actual | FY 2005<br>Actual | FY 2006<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 39,400            | 39,400            | 39,400            | 39,400 E               |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | N/A                    |
| Budget Authority (All Funds)    | 39,400            | 39,400            | 39,400            | N/A                    |
| Actual Expenditures (All Funds) | 29,010            | 28,971            | 16,356            | N/A                    |
| Unexpended (All Funds)          | 10,390            | 10,429            | 23,044            | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 10,390            | 10,429            | 23,044            | N/A                    |
|                                 | (1)               | (2)               | (3)               | (4)                    |

Actual Expenditures (All Funds)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1)
- (2)
- (3)
- (4)

# CORE RECONCILIATION

DEPARTMENT OF ECONOMIC DEVELOPMENT  
SAVINGS & LOAN-TRANSFER

## 5. CORE RECONCILIATION

|                             | Budget<br>Class | FTE         | GR       | Federal  | Other         | Total         | Explanation |
|-----------------------------|-----------------|-------------|----------|----------|---------------|---------------|-------------|
| TAFP AFTER VETOES           |                 |             |          |          |               |               |             |
|                             | TRF             | 0.00        | 0        | 0        | 39,400        | 39,400        |             |
|                             | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>39,400</b> | <b>39,400</b> |             |
| DEPARTMENT CORE REQUEST     |                 |             |          |          |               |               |             |
|                             | TRF             | 0.00        | 0        | 0        | 39,400        | 39,400        |             |
|                             | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>39,400</b> | <b>39,400</b> |             |
| GOVERNOR'S RECOMMENDED CORE |                 |             |          |          |               |               |             |
|                             | TRF             | 0.00        | 0        | 0        | 39,400        | 39,400        |             |
|                             | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>39,400</b> | <b>39,400</b> |             |

# **FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS**

# **DECISION ITEM DETAIL**

| Budget Unit                        | FY 2005         | FY 2005     | FY 2006         | FY 2006     | FY 2007         | FY 2007     | FY 2007         | FY 2007     |
|------------------------------------|-----------------|-------------|-----------------|-------------|-----------------|-------------|-----------------|-------------|
| Decision Item                      | ACTUAL          | ACTUAL      | BUDGET          | BUDGET      | DEPT REQ        | DEPT REQ    | GOV REC         | GOV REC     |
| Budget Object Class                | DOLLAR          | FTE         | DOLLAR          | FTE         | DOLLAR          | FTE         | DOLLAR          | FTE         |
| <b>SAVINGS &amp; LOAN-TRANSFER</b> |                 |             |                 |             |                 |             |                 |             |
| <b>CORE</b>                        |                 |             |                 |             |                 |             |                 |             |
| FUND TRANSFERS                     | 16,356          | 0.00        | 39,400          | 0.00        | 39,400          | 0.00        | 39,400          | 0.00        |
| TOTAL - TRF                        | 16,356          | 0.00        | 39,400          | 0.00        | 39,400          | 0.00        | 39,400          | 0.00        |
| <b>GRAND TOTAL</b>                 | <b>\$16,356</b> | <b>0.00</b> | <b>\$39,400</b> | <b>0.00</b> | <b>\$39,400</b> | <b>0.00</b> | <b>\$39,400</b> | <b>0.00</b> |
| GENERAL REVENUE                    | \$0             | 0.00        | \$0             | 0.00        | \$0             | 0.00        | \$0             | 0.00        |
| FEDERAL FUNDS                      | \$0             | 0.00        | \$0             | 0.00        | \$0             | 0.00        | \$0             | 0.00        |
| OTHER FUNDS                        | \$16,356        | 0.00        | \$39,400        | 0.00        | \$39,400        | 0.00        | \$39,400        | 0.00        |

## PROGRAM DESCRIPTION

Department of Economic Development - Division of Finance

Division of Savings & Loan Supervision Fund Transfer to Finance Fund

Program is found in the following core budget(s): Division of Savings & Loan Supervision Fund Transfer to Finance Fund

**1. What does this program do?**

This transfer provides funds to the Division of Finance Fund from the Savings & Loan Supervision Fund to meet the salaries and expenses of the Division of Finance in administering laws pertaining to savings and loan associations.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Chapter 369, RSMo

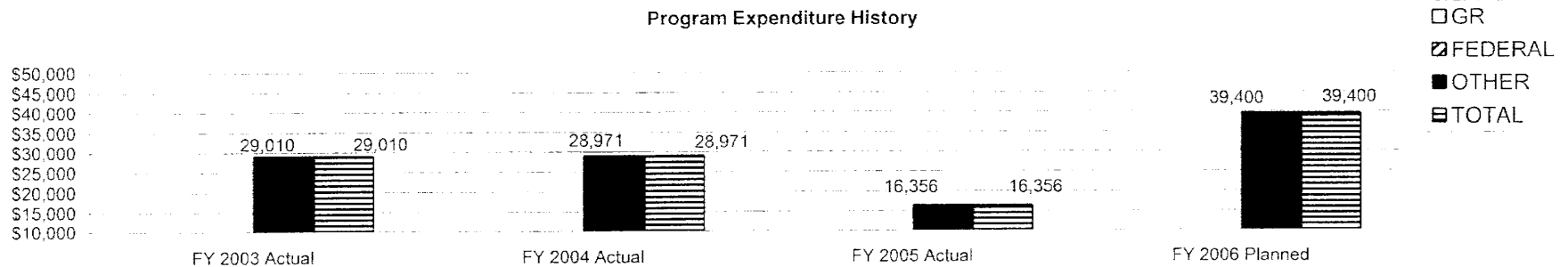
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Payments made in accordance with 369.324, RSMo

## PROGRAM DESCRIPTION

Department of Economic Development - Division of Finance

Division of Savings & Loan Supervision Fund Transfer to Finance Fund

Program is found in the following core budget(s): Division of Savings & Loan Supervision Fund Transfer to Finance Fund

7a. Provide an effectiveness measure.

N/A

7b. Provide an efficiency measure.

N/A

7c. Provide the number of clients/individuals served, if applicable.

N/A

7d. Provide a customer satisfaction measure, if available.

N/A

# **FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS**

# **DECISION ITEM SUMMARY**

| Budget Unit           |           |         |           |         |           |          |           |         |  |
|-----------------------|-----------|---------|-----------|---------|-----------|----------|-----------|---------|--|
| Decision Item         | FY 2005   | FY 2005 | FY 2006   | FY 2006 | FY 2007   | FY 2007  | FY 2007   | FY 2007 |  |
| Budget Object Summary | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC   | GOV REC |  |
| Fund                  | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR    | FTE     |  |
| <hr/>                 |           |         |           |         |           |          |           |         |  |
| FINANCE FUND TRANSFER |           |         |           |         |           |          |           |         |  |
| CORE                  |           |         |           |         |           |          |           |         |  |
| FUND TRANSFERS        |           |         |           |         |           |          |           |         |  |
| DIVISION OF FINANCE   | 499,218   | 0.00    | 500,000   | 0.00    | 500,000   | 0.00     | 500,000   | 0.00    |  |
| TOTAL - TRF           | 499,218   | 0.00    | 500,000   | 0.00    | 500,000   | 0.00     | 500,000   | 0.00    |  |
| TOTAL                 | 499,218   | 0.00    | 500,000   | 0.00    | 500,000   | 0.00     | 500,000   | 0.00    |  |
| <hr/>                 |           |         |           |         |           |          |           |         |  |
| GRAND TOTAL           | \$499,218 | 0.00    | \$500,000 | 0.00    | \$500,000 | 0.00     | \$500,000 | 0.00    |  |
| <hr/>                 |           |         |           |         |           |          |           |         |  |

**CORE DECISION ITEM**

| <b>Department: Economic Development</b>   |                                   |             |             |             | <b>Budget Unit</b> <u>42530C</u>   |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
|---|-----------------------------------|-------------|-------------|-------------|--|------------------------|-------------|-------------|--|--|----|---------|-------|-------|----|---|---|---|---|----|---|---|---|---|-----|---|---|---|---|--------------|----------|----------|----------|----------|--|--|--|--|--|--|-----------------------------------|--|--|--|--|----|-----|-------|-------|----|---|---|---|---|----|---|---|---|---|-----|---|---|---|---|--------------|----------|----------|----------|----------|
| <b>Division: Finance</b>  |                                   |             |             |             |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| <b>Core: Division of Finance Fund Transfer to General Revenue</b>   |                                   |             |             |             |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| <b>1. CORE FINANCIAL SUMMARY</b>  |                                   |             |             |             |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th></th> <th align="center" colspan="4">FY 2007 Budget Request</th> </tr> <tr> <th></th> <th align="center">GR</th> <th align="center">Federal</th> <th align="center">Other</th> <th align="center">Total</th> </tr> <tr> <td>PS</td> <td align="center">0</td> <td align="center">0</td> <td align="center">0</td> <td align="center">0</td> </tr> <tr> <td>EE</td> <td align="center">0</td> <td align="center">0</td> <td align="center">0</td> <td align="center">0</td> </tr> <tr> <td>PSD</td> <td align="center">0</td> <td align="center">0</td> <td align="center">0</td> <td align="center">0</td> </tr> <tr> <td><b>Total</b></td> <td align="center"><b>0</b></td> <td align="center"><b>0</b></td> <td align="center"><b>0</b></td> <td align="center"><b>0</b></td> </tr> </table> |                                   |             |             |             |  | FY 2007 Budget Request |             |             |  |  | GR | Federal | Other | Total | PS | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 | <b>Total</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th></th> <th align="center" colspan="4">FY 2007 Governor's Recommendation</th> </tr> <tr> <th></th> <th align="center">GR</th> <th align="center">Fed</th> <th align="center">Other</th> <th align="center">Total</th> </tr> <tr> <td>PS</td> <td align="center">0</td> <td align="center">0</td> <td align="center">0</td> <td align="center">0</td> </tr> <tr> <td>EE</td> <td align="center">0</td> <td align="center">0</td> <td align="center">0</td> <td align="center">0</td> </tr> <tr> <td>PSD</td> <td align="center">0</td> <td align="center">0</td> <td align="center">0</td> <td align="center">0</td> </tr> <tr> <td><b>Total</b></td> <td align="center"><b>0</b></td> <td align="center"><b>0</b></td> <td align="center"><b>0</b></td> <td align="center"><b>0</b></td> </tr> </table> |  |  |  |  |  | FY 2007 Governor's Recommendation |  |  |  |  | GR | Fed | Other | Total | PS | 0 | 0 | 0 | 0 | EE | 0 | 0 | 0 | 0 | PSD | 0 | 0 | 0 | 0 | <b>Total</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |
|   | FY 2007 Budget Request            |             |             |             |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
|   | GR                                | Federal     | Other       | Total       |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| PS  | 0                                 | 0           | 0           | 0           |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| EE  | 0                                 | 0           | 0           | 0           |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| PSD   | 0                                 | 0           | 0           | 0           |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| <b>Total</b>  | <b>0</b>                          | <b>0</b>    | <b>0</b>    | <b>0</b>    |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
|   | FY 2007 Governor's Recommendation |             |             |             |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
|   | GR                                | Fed         | Other       | Total       |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| PS  | 0                                 | 0           | 0           | 0           |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| EE  | 0                                 | 0           | 0           | 0           |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| PSD   | 0                                 | 0           | 0           | 0           |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| <b>Total</b>  | <b>0</b>                          | <b>0</b>    | <b>0</b>    | <b>0</b>    |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| Transfer  |                                   |             | \$500,000   | \$500,000   | E  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| <b>FTE</b>  | <b>0.00</b>                       | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> |  |                        | <b>0.00</b> | <b>0.00</b> |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| <b>Est. Fringe</b>  | <b>0</b>                          | <b>0</b>    | <b>0</b>    | <b>0</b>    |  |                        | <b>0</b>    | <b>0</b>    |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>  |                                   |             |             |             | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>   |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| Other Funds: Finance Fund (0550)<br>Notes: An "E" is requested to allow for the transfer of funds for actual costs of services provided by General Revenue funded state agencies to the Division of Finance.  |                                   |             |             |             | Other Funds: Finance Fund (0550)<br>Notes: An "E" is requested to allow for the transfer of funds for actual costs of services provided by General Revenue funded state agencies to the Division of Finance. |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| <b>2. CORE DESCRIPTION</b>  |                                   |             |             |             |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| This transfer provides funds to general revenue funded state agencies (i.e., Attorney General and State Auditor) to pay for the costs of rent and other supportive services provided to the Division of Finance.  |                                   |             |             |             |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>   |                                   |             |             |             |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |
| Finance Fund Transfer to General Revenue  |                                   |             |             |             |  |                        |             |             |  |  |    |         |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |  |  |  |  |  |  |                                   |  |  |  |  |    |     |       |       |    |   |   |   |   |    |   |   |   |   |     |   |   |   |   |              |          |          |          |          |

**CORE DECISION ITEM**

**Department: Economic Development**

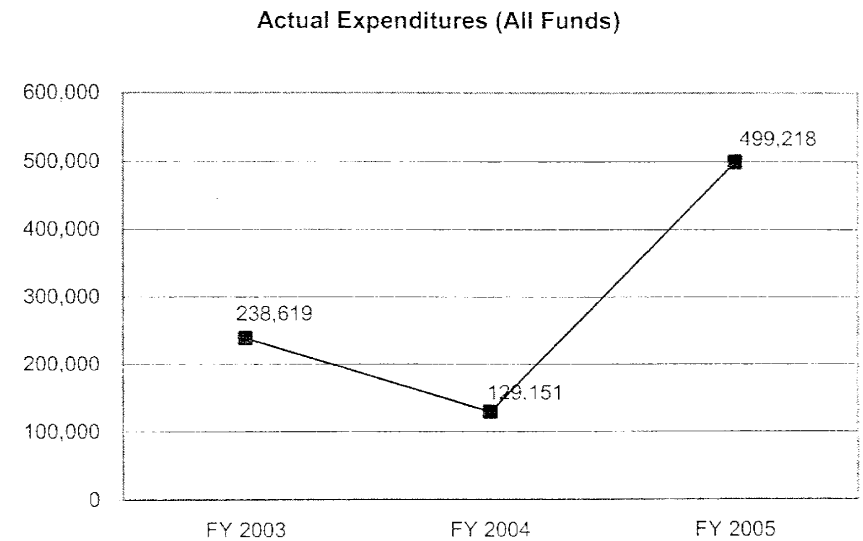
**Budget Unit 42530C**

**Division: Finance**

**Core: Division of Finance Fund Transfer to General Revenue**

**4. FINANCIAL HISTORY**

|                                 | FY 2003<br>Actual | FY 2004<br>Actual | FY 2005<br>Actual | FY 2006<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 500,000           | 500,000           | 500,000           | 500,000 E              |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | N/A                    |
| Budget Authority (All Funds)    | 500,000           | 500,000           | 500,000           | N/A                    |
| Actual Expenditures (All Funds) | 238,619           | 129,151           | 499,218           | N/A                    |
| Unexpended (All Funds)          | 261,381           | 370,849           | 782               | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 261,381           | 370,849           | 782               | N/A                    |
|                                 | (1)               | (2)               | (3)               | (4)                    |



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

**NOTES:**

- (1)
- (2)
- (3)
- (4)



# CORE RECONCILIATION

DEPARTMENT OF ECONOMIC DEVELOPMENT  
FINANCE FUND TRANSFER

## 5. CORE RECONCILIATION

|                             | Budget<br>Class | FTE  | GR | Federal | Other   | Total   | Explanation |
|-----------------------------|-----------------|------|----|---------|---------|---------|-------------|
| TAFP AFTER VETOES           |                 |      |    |         |         |         |             |
|                             | TRF             | 0.00 | 0  | 0       | 500,000 | 500,000 |             |
|                             | Total           | 0.00 | 0  | 0       | 500,000 | 500,000 |             |
| DEPARTMENT CORE REQUEST     |                 |      |    |         |         |         |             |
|                             | TRF             | 0.00 | 0  | 0       | 500,000 | 500,000 |             |
|                             | Total           | 0.00 | 0  | 0       | 500,000 | 500,000 |             |
| GOVERNOR'S RECOMMENDED CORE |                 |      |    |         |         |         |             |
|                             | TRF             | 0.00 | 0  | 0       | 500,000 | 500,000 |             |
|                             | Total           | 0.00 | 0  | 0       | 500,000 | 500,000 |             |

# **FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS**

# **DECISION ITEM DETAIL**

| Budget Unit                  | FY 2005          | FY 2005     | FY 2006          | FY 2006     | FY 2007          | FY 2007     | FY 2007          | FY 2007     |
|------------------------------|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item                | ACTUAL           | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Budget Object Class          | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>FINANCE FUND TRANSFER</b> |                  |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                  |                  |             |                  |             |                  |             |                  |             |
| FUND TRANSFERS               | 499,218          | 0.00        | 500,000          | 0.00        | 500,000          | 0.00        | 500,000          | 0.00        |
| TOTAL - TRF                  | 499,218          | 0.00        | 500,000          | 0.00        | 500,000          | 0.00        | 500,000          | 0.00        |
| <b>GRAND TOTAL</b>           | <b>\$499,218</b> | <b>0.00</b> | <b>\$500,000</b> | <b>0.00</b> | <b>\$500,000</b> | <b>0.00</b> | <b>\$500,000</b> | <b>0.00</b> |
| GENERAL REVENUE              | \$0              | 0.00        | \$0              | 0.00        | \$0              | 0.00        | \$0              | 0.00        |
| FEDERAL FUNDS                | \$0              | 0.00        | \$0              | 0.00        | \$0              | 0.00        | \$0              | 0.00        |
| OTHER FUNDS                  | \$499,218        | 0.00        | \$500,000        | 0.00        | \$500,000        | 0.00        | \$500,000        | 0.00        |

## PROGRAM DESCRIPTION

Department of Economic Development - Division of Finance

Division of Finance fund Transfer to General Revenue

Program is found in the following core budget(s): Division of Finance Fund Transfer to General Revenue

**1. What does this program do?**

This transfer provides funds to general revenue funded state agencies (i.e., Attorney General and State Auditor) to pay for the costs of rent and other supportive services provided to the Division of Finance.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

361.170, RSMo

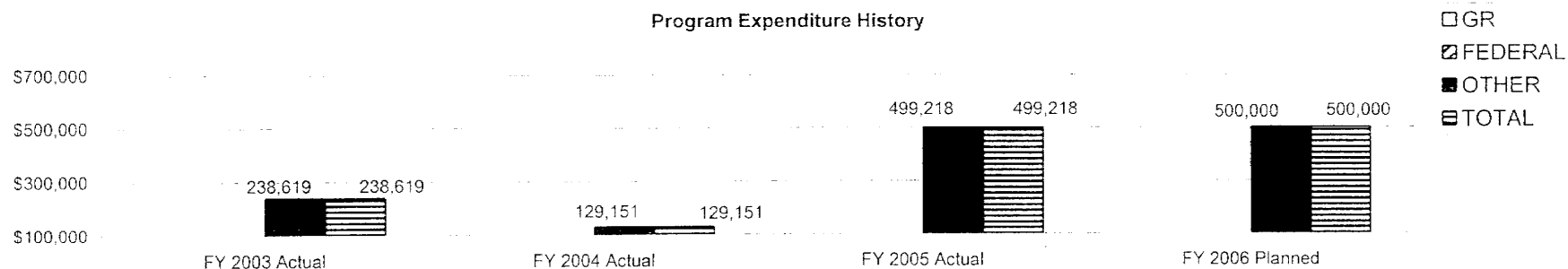
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Transfer pursuant to 361.170, RSMo.

## PROGRAM DESCRIPTION

Department of Economic Development - Division of Finance

Division of Finance fund Transfer to General Revenue

Program is found in the following core budget(s): Division of Finance Fund Transfer to General Revenue

7a. Provide an effectiveness measure.

N/A

7b. Provide an efficiency measure.

N/A

7c. Provide the number of clients/individuals served, if applicable.

N/A

7d. Provide a customer satisfaction measure, if available.

N/A

# **FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS**

# **DECISION ITEM SUMMARY**

| Budget Unit                         |                |             |                |             |                |             |                |             |  |
|-------------------------------------|----------------|-------------|----------------|-------------|----------------|-------------|----------------|-------------|--|
| Decision Item                       | FY 2005        | FY 2005     | FY 2006        | FY 2006     | FY 2007        | FY 2007     | FY 2007        | FY 2007     |  |
| Budget Object Summary               | ACTUAL         | ACTUAL      | BUDGET         | BUDGET      | DEPT REQ       | DEPT REQ    | GOV REC        | GOV REC     |  |
| Fund                                | DOLLAR         | FTE         | DOLLAR         | FTE         | DOLLAR         | FTE         | DOLLAR         | FTE         |  |
| <b>S&amp;L SUPERVISION TRANSFER</b> |                |             |                |             |                |             |                |             |  |
| <b>CORE</b>                         |                |             |                |             |                |             |                |             |  |
| <b>FUND TRANSFERS</b>               |                |             |                |             |                |             |                |             |  |
| DIV SAVINGS & LOAN SUPERVISION      | 8,134          | 0.00        | 6,909          | 0.00        | 6,909          | 0.00        | 6,909          | 0.00        |  |
| TOTAL - TRF                         | 8,134          | 0.00        | 6,909          | 0.00        | 6,909          | 0.00        | 6,909          | 0.00        |  |
| TOTAL                               | 8,134          | 0.00        | 6,909          | 0.00        | 6,909          | 0.00        | 6,909          | 0.00        |  |
| <b>GRAND TOTAL</b>                  | <b>\$8,134</b> | <b>0.00</b> | <b>\$6,909</b> | <b>0.00</b> | <b>\$6,909</b> | <b>0.00</b> | <b>\$6,909</b> | <b>0.00</b> |  |

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# CORE DECISION ITEM

|   |          |          |          |           |  |          |          |          |           |
|---|----------|----------|----------|-----------|--|----------|----------|----------|-----------|
| Department: <u>Economic Development</u>   |          |          |          |           | Budget Unit <u>42540C</u>  |          |          |          |           |
| Division: <u>Finance</u>  |          |          |          |           |  |          |          |          |           |
| Core: <u>Division of Savings &amp; Loan Supervision Fund Transfer to General Revenue</u>  |          |          |          |           |  |          |          |          |           |
| <b>1. CORE FINANCIAL SUMMARY</b>  |          |          |          |           |  |          |          |          |           |
| FY 2007 Budget Request  |          |          |          |           | FY 2007 Governor's Recommendation  |          |          |          |           |
|   | GR       | Federal  | Other    | Total     |  | GR       | Fed      | Other    | Total     |
| PS  | 0        | 0        | 0        | 0         | PS   | 0        | 0        | 0        | 0         |
| EE  | 0        | 0        | 0        | 0         | EE   | 0        | 0        | 0        | 0         |
| PSD   | 0        | 0        | 0        | 0         | PSD  | 0        | 0        | 0        | 0         |
| <b>Total</b>  | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b>  | <b>Total</b>   | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b>  |
| Transfer  |          |          | \$6,909  | \$6,909 E | Transfer   |          |          | \$6,909  | \$6,909 E |
| FTE   | 0.00     | 0.00     | 0.00     | 0.00      | FTE  | 0.00     | 0.00     | 0.00     | 0.00      |
| <b>Est. Fringe</b>  | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b>  | <b>Est. Fringe</b>   | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b>  |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>  |          |          |          |           | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |          |          |          |           |
| Other Funds: Savings & Loan Supervision Fund (0549)   |          |          |          |           | Other Funds: Savings & Loan Supervision Fund (0549)  |          |          |          |           |
| Notes: An "E" is requested due to the unknown amount of the transfer.   |          |          |          |           | Notes: An "E" is requested due to the unknown amount of the transfer.  |          |          |          |           |
| <b>2. CORE DESCRIPTION</b>  |          |          |          |           |  |          |          |          |           |
| Any amount remaining in the Division of Savings and Loan Supervision Fund at the end of the fiscal year which exceeds five percent of the amount assessed to the savings and loan associations shall be transferred to general revenue. In accordance with Section 369.324, this transfer is necessary to meet the requirements of the statute. |          |          |          |           |  |          |          |          |           |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>   |          |          |          |           |  |          |          |          |           |
| Division of Savings & Loan Supervision Transfer to General Revenue  |          |          |          |           |  |          |          |          |           |

# CORE DECISION ITEM

Department: Economic Development

Budget Unit 42540C

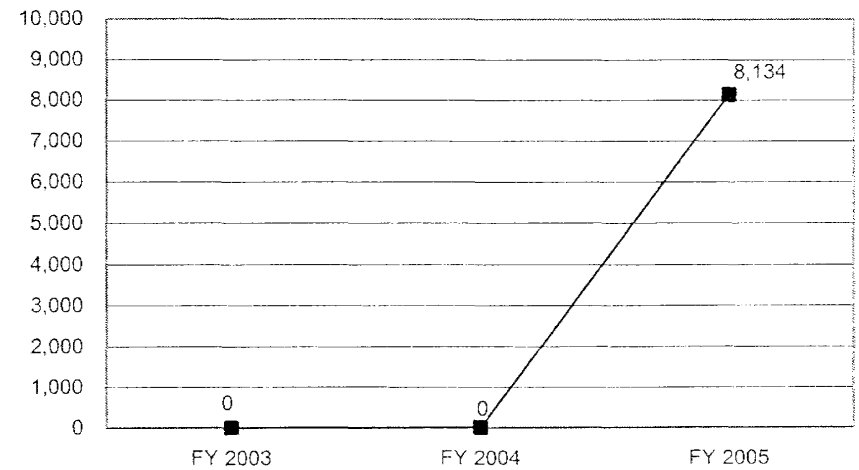
Division: Finance

Core: Division of Savings & Loan Supervision Fund Transfer to General Revenue

## 4. FINANCIAL HISTORY

|                                 | FY 2003<br>Actual | FY 2004<br>Actual | FY 2005<br>Actual | FY 2006<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 6,909             | 6,909             | 8,135             | 6,909 E                |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | N/A                    |
| Budget Authority (All Funds)    | 6,909             | 6,909             | 8,135             | N/A                    |
| Actual Expenditures (All Funds) | 0                 | 0                 | 8,134             | N/A                    |
| Unexpended (All Funds)          | 6,909             | 6,909             | 1                 | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 6,909             | 6,909             | 1                 | N/A                    |
|                                 | (1)               | (2)               | (3)               | (4)                    |

Actual Expenditures (All Funds)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1)
- (2)
- (3)
- (4)

# CORE RECONCILIATION

DEPARTMENT OF ECONOMIC DEVELOPMENT  
S&L SUPERVISION TRANSFER

## 5. CORE RECONCILIATION

|                             | Budget<br>Class | FTE  | GR | Federal | Other | Total | Explanation |
|-----------------------------|-----------------|------|----|---------|-------|-------|-------------|
| TAFP AFTER VETOES           |                 |      |    |         |       |       |             |
|                             | TRF             | 0.00 | 0  | 0       | 6,909 | 6,909 |             |
|                             | Total           | 0.00 | 0  | 0       | 6,909 | 6,909 |             |
| DEPARTMENT CORE REQUEST     |                 |      |    |         |       |       |             |
|                             | TRF             | 0.00 | 0  | 0       | 6,909 | 6,909 |             |
|                             | Total           | 0.00 | 0  | 0       | 6,909 | 6,909 |             |
| GOVERNOR'S RECOMMENDED CORE |                 |      |    |         |       |       |             |
|                             | TRF             | 0.00 | 0  | 0       | 6,909 | 6,909 |             |
|                             | Total           | 0.00 | 0  | 0       | 6,909 | 6,909 |             |



# FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS

# DECISION ITEM DETAIL

| Budget Unit              | FY 2005 | FY 2005 | FY 2006 | FY 2006 | FY 2007  | FY 2007  | FY 2007 | FY 2007 |
|--------------------------|---------|---------|---------|---------|----------|----------|---------|---------|
| Decision Item            | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC | GOV REC |
| Budget Object Class      | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR  | FTE     |
| S&L SUPERVISION TRANSFER |         |         |         |         |          |          |         |         |
| CORE                     |         |         |         |         |          |          |         |         |
| FUND TRANSFERS           | 8,134   | 0.00    | 6,909   | 0.00    | 6,909    | 0.00     | 6,909   | 0.00    |
| TOTAL - TRF              | 8,134   | 0.00    | 6,909   | 0.00    | 6,909    | 0.00     | 6,909   | 0.00    |
| GRAND TOTAL              | \$8,134 | 0.00    | \$6,909 | 0.00    | \$6,909  | 0.00     | \$6,909 | 0.00    |
| GENERAL REVENUE          | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0     | 0.00    |
| FEDERAL FUNDS            | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0     | 0.00    |
| OTHER FUNDS              | \$8,134 | 0.00    | \$6,909 | 0.00    | \$6,909  | 0.00     | \$6,909 | 0.00    |

## PROGRAM DESCRIPTION

**Department of Economic Development - Division of Finance**

**Division of Savings & Loan Supervision Fund Transfer to General Revenue**

**Program is found in the following core budget(s): Division of Savings & Loan Supervision Fund Transfer to General Revenue**

**1. What does this program do?**

Any amount remaining in the Division of Savings and Loan Supervision Fund at the end of the fiscal year which exceeds five percent of the amount assessed to the savings and loan associations shall be transferred to general revenue.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

369.324, RSMo

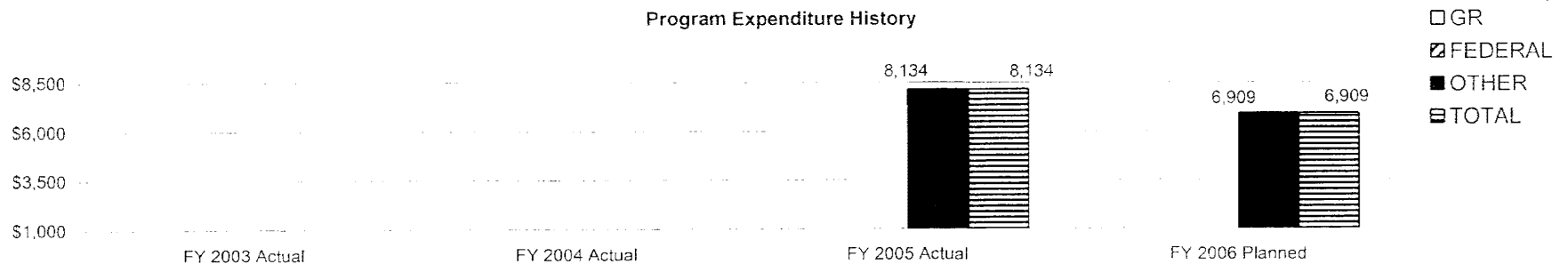
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Transfer pursuant to 369.324, RSMo.

PROGRAM DESCRIPTION

Department of Economic Development - Division of Finance

Division of Savings & Loan Supervision Fund Transfer to General Revenue

Program is found in the following core budget(s): Division of Savings & Loan Supervision Fund Transfer to General Revenue

7a. Provide an effectiveness measure.

N/A

7b. Provide an efficiency measure.

N/A

7c. Provide the number of clients/individuals served, if applicable.

N/A

7d. Provide a customer satisfaction measure, if available.

N/A

# FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS

# DECISION ITEM SUMMARY

| Budget Unit                    |           |         |           |         |           |          |           |         |  |
|--------------------------------|-----------|---------|-----------|---------|-----------|----------|-----------|---------|--|
| Decision Item                  | FY 2005   | FY 2005 | FY 2006   | FY 2006 | FY 2007   | FY 2007  | FY 2007   | FY 2007 |  |
| Budget Object Summary          | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC   | GOV REC |  |
| Fund                           | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR    | FTE     |  |
| RESIDENTIAL MORTGAGE-TRANSFER  |           |         |           |         |           |          |           |         |  |
| CORE                           |           |         |           |         |           |          |           |         |  |
| FUND TRANSFERS                 |           |         |           |         |           |          |           |         |  |
| RESIDENTIAL MORTGAGE LICENSING | 132,443   | 0.00    | 150,000   | 0.00    | 150,000   | 0.00     | 150,000   | 0.00    |  |
| TOTAL - TRF                    | 132,443   | 0.00    | 150,000   | 0.00    | 150,000   | 0.00     | 150,000   | 0.00    |  |
| TOTAL                          | 132,443   | 0.00    | 150,000   | 0.00    | 150,000   | 0.00     | 150,000   | 0.00    |  |
| GRAND TOTAL                    | \$132,443 | 0.00    | \$150,000 | 0.00    | \$150,000 | 0.00     | \$150,000 | 0.00    |  |

# CORE DECISION ITEM

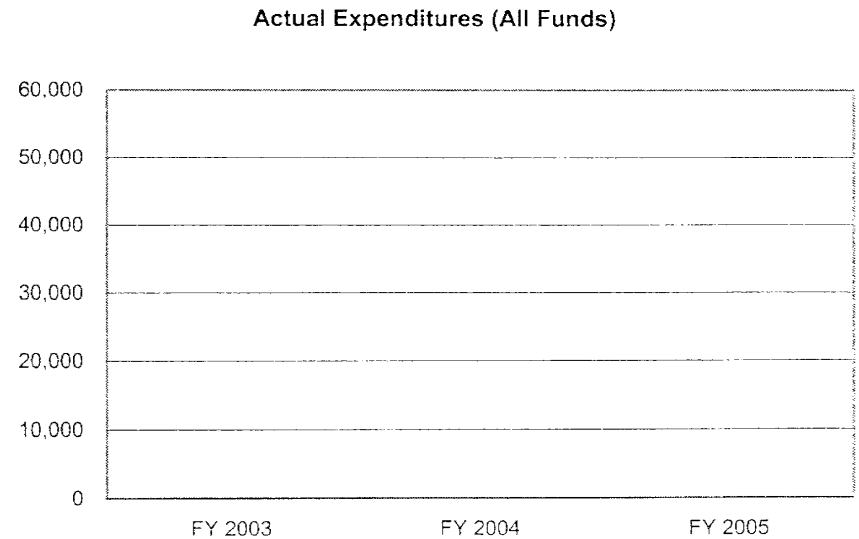
|   |          |          |           |             |  |          |          |           |             |
|---|----------|----------|-----------|-------------|--|----------|----------|-----------|-------------|
| Department: <u>Economic Development</u>   |          |          |           |             | Budget Unit <u>42550C</u>  |          |          |           |             |
| Division: <u>Finance</u>  |          |          |           |             |  |          |          |           |             |
| Core: <u>Residential Mortgage Licensing Fund Transfer to Finance Fund</u>   |          |          |           |             |  |          |          |           |             |
| <b>1. CORE FINANCIAL SUMMARY</b>  |          |          |           |             |  |          |          |           |             |
| FY 2007 Budget Request  |          |          |           |             | FY 2007 Governor's Recommendation  |          |          |           |             |
|   | GR       | Federal  | Other     | Total       |  | GR       | Fed      | Other     | Total       |
| PS  | 0        | 0        | 0         | 0           | PS   | 0        | 0        | 0         | 0           |
| EE  | 0        | 0        | 0         | 0           | EE   | 0        | 0        | 0         | 0           |
| PSD   | 0        | 0        | 0         | 0           | PSD  | 0        | 0        | 0         | 0           |
| Total   | <u>0</u> | <u>0</u> | <u>0</u>  | <u>0</u>    | Total  | <u>0</u> | <u>0</u> | <u>0</u>  | <u>0</u>    |
| Transfer  |          |          | \$150,000 | \$150,000 E | Transfer   |          |          | \$150,000 | \$150,000 E |
| FTE   | 0.00     | 0.00     | 0.00      | 0.00        | FTE  | 0.00     | 0.00     | 0.00      | 0.00        |
| <b>Est. Fringe</b>  | 0        | 0        | 0         | 0           | <b>Est. Fringe</b>   | 0        | 0        | 0         | 0           |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>  |          |          |           |             | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |          |          |           |             |
| Other Funds: Residential Mortgage Licensing Fund (0261 )  |          |          |           |             | Other Funds: Residential Mortgage Licensing Fund (0261)  |          |          |           |             |
| Notes: An "E" is requested to allow for the transfer of funds for actual costs of administering the law.  |          |          |           |             | Notes: An "E" is requested to allow for the transfer of funds for actual costs of administering the law.                               |          |          |           |             |
| <b>2. CORE DESCRIPTION</b>  |          |          |           |             |  |          |          |           |             |
| This transfer provides funds to the Division of Finance Fund from the Residential Mortgage Licensing Fund to meet the salaries and expenses of the Division of Finance in administering the Residential Mortgage Licensing Law. |          |          |           |             |  |          |          |           |             |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>   |          |          |           |             |  |          |          |           |             |
| Residential Mortgage Licensing Transfer   |          |          |           |             |  |          |          |           |             |

**CORE DECISION ITEM**

Department: Economic Development Budget Unit 42550C  
 Division: Finance  
 Core: Residential Mortgage Licensing Fund Transfer to Finance Fund

**4. FINANCIAL HISTORY**

|                                 | FY 2003<br>Actual | FY 2004<br>Actual | FY 2005<br>Actual | FY 2006<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 239,000           | 160,557           | 150,000           | 150,000 E              |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | N/A                    |
| Budget Authority (All Funds)    | 239,000           | 160,557           | 150,000           | N/A                    |
| Actual Expenditures (All Funds) | 238,947           | 160,556           | 132,443           | N/A                    |
| Unexpended (All Funds)          | 53                | 1                 | 17,557            | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 53                | 1                 | 17,557            | N/A                    |
|                                 | (1)               | (2)               | (3)               | (4)                    |



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

**NOTES:**

- (1)
- (2)
- (3)
- (4)

# CORE RECONCILIATION

DEPARTMENT OF ECONOMIC DEVELOPMENT  
RESIDENTIAL MORTGAGE-TRANSFER

## 5. CORE RECONCILIATION

|                             | Budget<br>Class | FTE         | GR       | Federal  | Other          | Total          | Explanation |
|-----------------------------|-----------------|-------------|----------|----------|----------------|----------------|-------------|
| TAFP AFTER VETOES           |                 |             |          |          |                |                |             |
|                             | TRF             | 0.00        | 0        | 0        | 150,000        | 150,000        |             |
|                             | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>150,000</b> | <b>150,000</b> |             |
| DEPARTMENT CORE REQUEST     |                 |             |          |          |                |                |             |
|                             | TRF             | 0.00        | 0        | 0        | 150,000        | 150,000        |             |
|                             | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>150,000</b> | <b>150,000</b> |             |
| GOVERNOR'S RECOMMENDED CORE |                 |             |          |          |                |                |             |
|                             | TRF             | 0.00        | 0        | 0        | 150,000        | 150,000        |             |
|                             | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>150,000</b> | <b>150,000</b> |             |

# FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS

# DECISION ITEM DETAIL

| Budget Unit                   | FY 2005   | FY 2005 | FY 2006   | FY 2006 | FY 2007   | FY 2007  | FY 2007   | FY 2007 |
|-------------------------------|-----------|---------|-----------|---------|-----------|----------|-----------|---------|
| Decision Item                 | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC   | GOV REC |
| Budget Object Class           | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR    | FTE     |
| RESIDENTIAL MORTGAGE-TRANSFER |           |         |           |         |           |          |           |         |
| CORE                          |           |         |           |         |           |          |           |         |
| FUND TRANSFERS                | 132,443   | 0.00    | 150,000   | 0.00    | 150,000   | 0.00     | 150,000   | 0.00    |
| TOTAL - TRF                   | 132,443   | 0.00    | 150,000   | 0.00    | 150,000   | 0.00     | 150,000   | 0.00    |
| GRAND TOTAL                   | \$132,443 | 0.00    | \$150,000 | 0.00    | \$150,000 | 0.00     | \$150,000 | 0.00    |
| GENERAL REVENUE               | \$0       | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0       | 0.00    |
| FEDERAL FUNDS                 | \$0       | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0       | 0.00    |
| OTHER FUNDS                   | \$132,443 | 0.00    | \$150,000 | 0.00    | \$150,000 | 0.00     | \$150,000 | 0.00    |



## PROGRAM DESCRIPTION

**Department of Economic Development - Division of Finance**  
**Residential Mortgage Licensing Fund Transfer to Finance Fund**

**Program is found in the following core budget(s): Residential Mortgage Licensing Fund Transfer to Finance Fund**

**1. What does this program do?**

This transfer provides funds to the Division of Finance Fund from the Residential Mortgage Licensing Fund to meet the salaries and expenses of the Division of Finance in administering the Residential Mortgage Licensing Law.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

443.845, RSMo

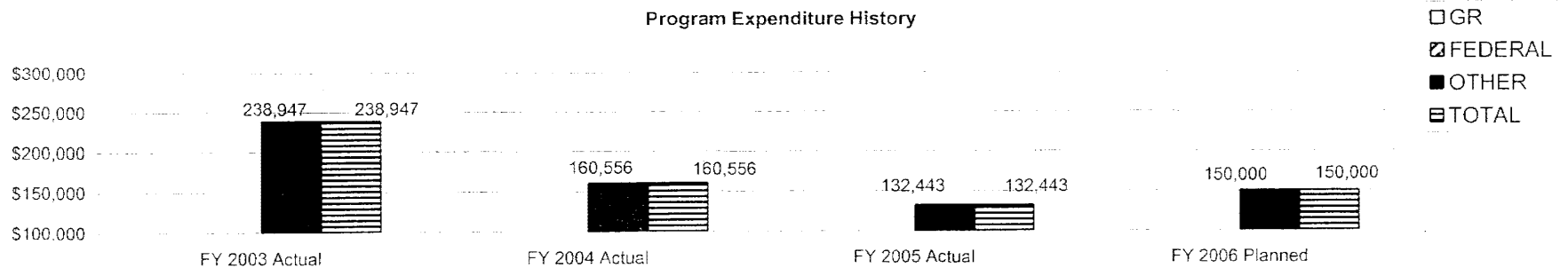
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Assessments and license fees paid by entities regulated by sections 443.800 to 443.893, RSMo.

## PROGRAM DESCRIPTION

Department of Economic Development - Division of Finance

Residential Mortgage Licensing Fund Transfer to Finance Fund

Program is found in the following core budget(s): Residential Mortgage Licensing Fund Transfer to Finance Fund

7a. Provide an effectiveness measure.

N/A

7b. Provide an efficiency measure.

N/A

7c. Provide the number of clients/individuals served, if applicable.

N/A

7d. Provide a customer satisfaction measure, if available.

N/A

# **FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS**

# **DECISION ITEM SUMMARY**

| Budget Unit                                   |           |         |           |         |           |          |           |         |  |
|---|-----------|---------|-----------|---------|-----------|----------|-----------|---------|--|
| Decision Item                                 | FY 2005   | FY 2005 | FY 2006   | FY 2006 | FY 2007   | FY 2007  | FY 2007   | FY 2007 |  |
| Budget Object Summary                         | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC   | GOV REC |  |
| Fund  | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR    | FTE     |  |
| <b>OFFICE OF PUBLIC COUNSEL</b>               |           |         |           |         |           |          |           |         |  |
| <b>CORE</b>                                   |           |         |           |         |           |          |           |         |  |
| PERSONAL SERVICES                             |           |         |           |         |           |          |           |         |  |
| GENERAL REVENUE                               | 624,507   | 13.61   | 540,030   | 11.00   | 540,030   | 11.00    | 540,030   | 11.00   |  |
| TOTAL - PS                                    | 624,507   | 13.61   | 540,030   | 11.00   | 540,030   | 11.00    | 540,030   | 11.00   |  |
| EXPENSE & EQUIPMENT                           |           |         |           |         |           |          |           |         |  |
| GENERAL REVENUE                               | 172,774   | 0.00    | 189,930   | 0.00    | 178,678   | 0.00     | 178,678   | 0.00    |  |
| TOTAL - EE                                    | 172,774   | 0.00    | 189,930   | 0.00    | 178,678   | 0.00     | 178,678   | 0.00    |  |
| TOTAL   | 797,281   | 13.61   | 729,960   | 11.00   | 718,708   | 11.00    | 718,708   | 11.00   |  |
| <b>GENERAL STRUCTURE ADJUSTMENT - 0000012</b> |           |         |           |         |           |          |           |         |  |
| PERSONAL SERVICES                             |           |         |           |         |           |          |           |         |  |
| GENERAL REVENUE                               | 0         | 0.00    | 0         | 0.00    | 0         | 0.00     | 21,602    | 0.00    |  |
| TOTAL - PS                                    | 0         | 0.00    | 0         | 0.00    | 0         | 0.00     | 21,602    | 0.00    |  |
| TOTAL   | 0         | 0.00    | 0         | 0.00    | 0         | 0.00     | 21,602    | 0.00    |  |
| GRAND TOTAL                                   | \$797,281 | 13.61   | \$729,960 | 11.00   | \$718,708 | 11.00    | \$740,310 | 11.00   |  |

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# CORE DECISION ITEM

|  |                |          |          |                |  |                |          |          |                |
|--|----------------|----------|----------|----------------|--|----------------|----------|----------|----------------|
| <b>Department: Economic Development</b>  |                |          |          |                | <b>Budget Unit</b> <u>42620C</u>   |                |          |          |                |
| <b>Division: Office of Public Counsel</b>  |                |          |          |                |  |                |          |          |                |
| <b>Core: Office of Public Counsel</b>  |                |          |          |                |  |                |          |          |                |
| <b>1. CORE FINANCIAL SUMMARY</b>   |                |          |          |                |  |                |          |          |                |
| <b>FY 2007 Budget Request</b>  |                |          |          |                | <b>FY 2007 Governor's Recommendation</b>   |                |          |          |                |
|  | GR             | Federal  | Other    | Total          |  | GR             | Fed      | Other    | Total          |
| PS   | 540,030        | 0        | 0        | 540,030        | PS   | 540,030        | 0        | 0        | 540,030        |
| EE   | 178,678        | 0        | 0        | 178,678        | EE   | 178,678        | 0        | 0        | 178,678        |
| PSD  | 0              | 0        | 0        | 0              | PSD  | 0              | 0        | 0        | 0              |
| <b>Total</b>   | <b>718,708</b> | <b>0</b> | <b>0</b> | <b>718,708</b> | <b>Total</b>   | <b>718,708</b> | <b>0</b> | <b>0</b> | <b>718,708</b> |
|  |                |          |          |                |  |                |          |          |                |
| FTE  | 11.00          | 0.00     | 0.00     | 11.00          | FTE  | 11.00          | 0.00     | 0.00     | 11.00          |
|  |                |          |          |                |  |                |          |          |                |
| <b>Est. Fringe</b>   | 264,021        | 0        | 0        | 264,021        | <b>Est. Fringe</b>   | 264,021        | 0        | 0        | 264,021        |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>   |                |          |          |                | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |                |          |          |                |
| Other Funds:   |                |          |          |                | Other Funds:   |                |          |          |                |
| Notes:      Transfer to HB13 (\$6,743). Transfer to IT approps (\$4,509).  |                |          |          |                | Notes:      Transfer to HB13 (\$6,743). Transfer to IT approps (\$4,509).  |                |          |          |                |
| <b>2. CORE DESCRIPTION</b>   |                |          |          |                |  |                |          |          |                |
| <p>The Office of Public Counsel provides expertise and resources to represent consumers of regulated Missouri utility consumers. This request funds attorneys and a technical staff that provide expert analysis and recommendations to the Public Service Commission and in the courts. Public Counsel advocates for the interests of all consumers of investor-owned utilities in Missouri, with a particular focus on residential and small business consumers who have no other representation. The Public Counsel also has the authority to appeal PSC decisions through the court system when necessary to protect consumer interests.</p> |                |          |          |                |  |                |          |          |                |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>  |                |          |          |                |  |                |          |          |                |
| Office of Public Counsel<br>(The Public Counsel is the statutory representative of utility consumers in cases before the Public Service Commission and in the courts.)   |                |          |          |                |  |                |          |          |                |

# CORE DECISION ITEM

Department: Economic Development

Budget Unit 42620C

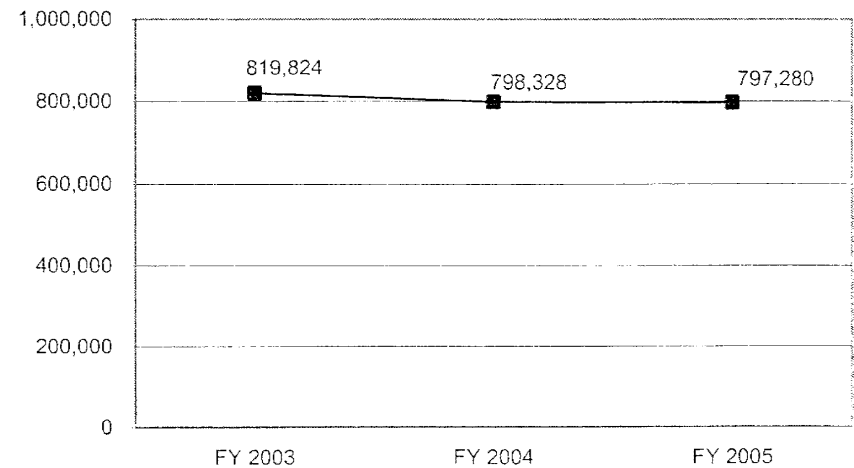
Division: Office of Public Counsel

Core: Office of Public Counsel

## 4. FINANCIAL HISTORY

|                                 | FY 2003<br>Actual | FY 2004<br>Actual | FY 2005<br>Actual | FY 2006<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 930,135           | 808,467           | 835,195           | 729,960                |
| Less Reverted (All Funds)       | (99,407)          | (6,732)           | (37,855)          | N/A                    |
| Budget Authority (All Funds)    | 830,728           | 801,735           | 797,340           | N/A                    |
| Actual Expenditures (All Funds) | 819,824           | 798,328           | 797,280           | N/A                    |
| Unexpended (All Funds)          | 10,904            | 3,407             | 60                | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 10,904            | 3,407             | 60                | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 0                 | 0                 | 0                 | N/A                    |
|                                 | (1)               | (2)               | (3)               |                        |

Actual Expenditures (All Funds)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

- NOTES:**
- (1) During the FY 2003 Fiscal Year, the Director's position had not been filled, our Deputy Public Counsel was serving as the Acting Public Counsel and not earning the full wage as director. In March, one of OPC's Public Utility Economists resigned, leaving that salary unused for the remaining 3 months of the Fiscal Year due to a hiring freeze. OPC's high reserve ate away at most of the unused PS funds, however OPC still had \$10,904 lapse (\$466.97 in E&E and \$10,436.24 PS).
  - (2) Only \$6.16 was lapsed in E&E. Several unexpected expenses occurred in the last quarter of FY 04, i.e. additional consulting charges, plus deposition expenses. One employee did not come off of probation until after the beginning of the fiscal year, contributing to a portion of the \$3,399.24 PS being lapsed in FY 04.
  - (3) Minimal lapse.

# CORE RECONCILIATION

DEPARTMENT OF ECONOMIC DEVELOPMENT  
OFFICE OF PUBLIC COUNSEL

## 5. CORE RECONCILIATION

|                             | Budget Class | FTE   | GR       | Federal | Other | Total    | Explanation   |
|-----------------------------|--------------|-------|----------|---------|-------|----------|---|
| TAFP AFTER VETOES           |              |       |          |         |       |          |   |
|                             | PS           | 11.00 | 540,030  | 0       | 0     | 540,030  |   |
|                             | EE           | 0.00  | 189,930  | 0       | 0     | 189,930  |   |
|                             | Total        | 11.00 | 729,960  | 0       | 0     | 729,960  |   |
| DEPARTMENT CORE ADJUSTMENTS |              |       |          |         |       |          |   |
| Transfer Out                | [#169] EE    | 0.00  | (6,743)  | 0       | 0     | (6,743)  | DED UTILITY COSTS FOR LEASED FACILITY TRANSFER TO HB13 (BOC 180 \$6,743).           |
| Core Reallocation           | [#1096] EE   | 0.00  | (4,509)  | 0       | 0     | (4,509)  | DED DIVISIONS TRANSFER OF IT E&E FROM NON-IT APPROPRIATIONS TO DED IT CONSOLIDATION |
| NET DEPARTMENT CHANGES      |              | 0.00  | (11,252) | 0       | 0     | (11,252) |   |
| DEPARTMENT CORE REQUEST     |              |       |          |         |       |          |   |
|                             | PS           | 11.00 | 540,030  | 0       | 0     | 540,030  |   |
|                             | EE           | 0.00  | 178,678  | 0       | 0     | 178,678  |   |
|                             | Total        | 11.00 | 718,708  | 0       | 0     | 718,708  |   |
| GOVERNOR'S RECOMMENDED CORE |              |       |          |         |       |          |   |
|                             | PS           | 11.00 | 540,030  | 0       | 0     | 540,030  |   |
|                             | EE           | 0.00  | 178,678  | 0       | 0     | 178,678  |   |
|                             | Total        | 11.00 | 718,708  | 0       | 0     | 718,708  |   |

# FLEXIBILITY REQUEST FORM

|  |   |
|--|---|
| <b>BUDGET UNIT NUMBER:</b> 42620C  | <b>DEPARTMENT:</b> ECONOMIC DEVELOPMENT   |
| <b>BUDGET UNIT NAME:</b> 1031 Ofc of Public Counsel PS-0101<br>2202 Ofc of Public Counsel E&E-0101 | <b>DIVISION:</b> Office of Public Counsel |

**1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.** If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

The department is requesting 20% flexibility between the Personal Service and/or Expense and Equipment appropriation. This increased flexibility is needed to ensure our ability to immediately address any identified operational modifications to ensure the provision of the highest quality services to Missourians. Because of the office's tight budget and history of using virtually all of Personal Services and E&E allocations each year, the added flexibility will allow us to operate more efficiently.

| DEPARTMENT REQUEST       |              |                  |                     |                           | GOVERNOR RECOMMENDATION |              |                  |                   |                        |
|--------------------------|--------------|------------------|---------------------|---------------------------|-------------------------|--------------|------------------|-------------------|------------------------|
| Section                  | PS or<br>E&E | Core             | % Flex<br>Requested | Flex<br>Request<br>Amount | Section                 | PS or<br>E&E | Core             | % Flex Gov<br>Rec | Flex Gov<br>Rec Amount |
| Office of Public Counsel | PS           | \$540,030        | 20%                 | \$108,006                 |                         | PS           | \$561,632        | 20%               | \$112,326              |
| Office of Public Counsel | E&E          | <u>\$178,678</u> | <u>20%</u>          | <u>\$35,736</u>           |                         | E&E          | <u>\$178,678</u> | <u>20%</u>        | <u>\$35,736</u>        |
| <i>Total Request</i>     |              | \$718,708        |                     | \$143,742                 | <i>Total Gov. Rec.</i>  |              | \$740,310        | 20%               | \$148,062              |

# FLEXIBILITY REQUEST FORM

|  |   |
|--|---|
| <b>BUDGET UNIT NUMBER:</b> 42620C  | <b>DEPARTMENT:</b> ECONOMIC DEVELOPMENT   |
| <b>BUDGET UNIT NAME:</b> 1031 Ofc of Public Counsel PS-0101<br>2202 Ofc of Public Counsel E&E-0101 | <b>DIVISION:</b> Office of Public Counsel |

**2. Estimate how much flexibility will be used for the budget year.** How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

| PRIOR YEAR<br>ACTUAL AMOUNT OF FLEXIBILITY USED | CURRENT YEAR<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED   | BUDGET REQUEST<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED |       |     |  |    |  |  |     |  |   |                      |       |           |  |    |           |  |     |          |
|---|--|--|-------|-----|--|----|--|--|-----|--|---|----------------------|-------|-----------|--|----|-----------|--|-----|----------|
| Not Applicable                                  | <p>Note: Expenditures in PS and E&amp;E will differ annually based on needs to cover operational expenses, address emergency and changing situations, etc. With the Governor's request for a 20% across the board reduction in state government expenditures and his focus on program efficiency, we believe this increased flexibility will allow us to address issues that may arise during the current fiscal period.</p> <table> <tr> <td>FY 2006 Flex approp.</td><td>Total</td><td>\$0</td></tr> <tr> <td></td><td>PS</td><td></td></tr> <tr> <td></td><td>E&amp;E</td><td></td></tr> </table> | FY 2006 Flex approp.   | Total | \$0 |  | PS |  |  | E&E |  | <p>Note: Expenditures in PS and E&amp;E will differ annually based on needs to cover operational expenses, address emergency and changing situations, etc. With the Governor's request for a 20% across the board reduction in state government expenditures and his focus on program efficiency, we believe this increased flexibility will allow us to address issues that may arise during the current fiscal period.</p> <table> <tr> <td>FY 2007 Flex Request</td><td>Total</td><td>\$148,062</td></tr> <tr> <td></td><td>PS</td><td>\$112,326</td></tr> <tr> <td></td><td>E&amp;E</td><td>\$35,736</td></tr> </table> | FY 2007 Flex Request | Total | \$148,062 |  | PS | \$112,326 |  | E&E | \$35,736 |
| FY 2006 Flex approp.                            | Total  | \$0  |       |     |  |    |  |  |     |  |   |                      |       |           |  |    |           |  |     |          |
|   | PS   |  |       |     |  |    |  |  |     |  |   |                      |       |           |  |    |           |  |     |          |
|   | E&E  |  |       |     |  |    |  |  |     |  |   |                      |       |           |  |    |           |  |     |          |
| FY 2007 Flex Request                            | Total  | \$148,062  |       |     |  |    |  |  |     |  |   |                      |       |           |  |    |           |  |     |          |
|   | PS   | \$112,326  |       |     |  |    |  |  |     |  |   |                      |       |           |  |    |           |  |     |          |
|   | E&E  | \$35,736   |       |     |  |    |  |  |     |  |   |                      |       |           |  |    |           |  |     |          |

**3. Was flexibility approved in the Prior Year Budget or the Current Year Budget?** If so, how was the flexibility used during those years?

| PRIOR YEAR<br>EXPLAIN ACTUAL USE | CURRENT YEAR<br>EXPLAIN PLANNED USE  |
|----------------------------------|--|
| Not Applicable                   | <p>In FY 2006, Office of Public Counsel was appropriated \$145,992 (up to 20%) flexibility between PS &amp; E&amp;E appropriations. This will allow the department to respond to changing situations to continue to provide the best possible, quality service to our customers.</p> |



# **FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS**

# **DECISION ITEM DETAIL**

| Budget Unit                     | FY 2005        | FY 2005      | FY 2006        | FY 2006      | FY 2007        | FY 2007      | FY 2007        | FY 2007      |
|---------------------------------|----------------|--------------|----------------|--------------|----------------|--------------|----------------|--------------|
| Decision Item                   | ACTUAL         | ACTUAL       | BUDGET         | BUDGET       | DEPT REQ       | DEPT REQ     | GOV REC        | GOV REC      |
| Budget Object Class             | DOLLAR         | FTE          | DOLLAR         | FTE          | DOLLAR         | FTE          | DOLLAR         | FTE          |
| <b>OFFICE OF PUBLIC COUNSEL</b> |                |              |                |              |                |              |                |              |
| <b>CORE</b>                     |                |              |                |              |                |              |                |              |
| SR OFC SUPPORT ASST (KEYBRD)    | 48,632         | 2.00         | 48,732         | 2.00         | 47,520         | 2.00         | 47,520         | 2.00         |
| PUBLIC UTILITY ACCOUNTANT I     | 28,451         | 0.84         | 0              | 0.00         | 0              | 0.00         | 0              | 0.00         |
| CH PUBLIC UTILITY ACCOUNTANT    | 60,742         | 1.00         | 60,792         | 1.00         | 60,792         | 1.00         | 60,792         | 1.00         |
| PUBLIC UTILITY ACCOUNTANT III   | 41,866         | 1.00         | 41,916         | 1.00         | 47,199         | 1.00         | 47,199         | 1.00         |
| ECONOMIST PUBLIC UTILITY NATRS  | 38,217         | 0.86         | 0              | 0.00         | 0              | 0.00         | 0              | 0.00         |
| CH UTILITY ECONOMIST            | 107,120        | 2.00         | 107,220        | 2.00         | 108,063        | 2.00         | 108,063        | 2.00         |
| PUBLIC UTILITY FINANCIAL ANAL   | 37,436         | 1.00         | 40,080         | 1.00         | 0              | 0.00         | 0              | 0.00         |
| DIVISION DIRECTOR               | 79,588         | 1.06         | 75,456         | 1.00         | 75,456         | 1.00         | 75,456         | 1.00         |
| DESIGNATED PRINCIPAL ASST DIV   | 37,847         | 1.00         | 37,897         | 1.00         | 33,000         | 1.00         | 33,000         | 1.00         |
| SENIOR COUNSEL                  | 89,587         | 1.85         | 72,866         | 1.00         | 108,000        | 2.00         | 108,000        | 2.00         |
| DEPUTY COUNSEL                  | 55,021         | 1.00         | 55,071         | 1.00         | 60,000         | 1.00         | 60,000         | 1.00         |
| <b>TOTAL - PS</b>               | <b>624,507</b> | <b>13.61</b> | <b>540,030</b> | <b>11.00</b> | <b>540,030</b> | <b>11.00</b> | <b>540,030</b> | <b>11.00</b> |
| TRAVEL, IN-STATE                | 1,513          | 0.00         | 1,500          | 0.00         | 1,500          | 0.00         | 1,500          | 0.00         |
| TRAVEL, OUT-OF-STATE            | 5,410          | 0.00         | 7,668          | 0.00         | 7,668          | 0.00         | 7,668          | 0.00         |
| FUEL & UTILITIES                | 7,326          | 0.00         | 8,000          | 0.00         | 0              | 0.00         | 0              | 0.00         |
| SUPPLIES                        | 34,580         | 0.00         | 29,433         | 0.00         | 34,209         | 0.00         | 34,209         | 0.00         |
| PROFESSIONAL DEVELOPMENT        | 10,329         | 0.00         | 6,474          | 0.00         | 6,474          | 0.00         | 6,474          | 0.00         |
| COMMUNICATION SERV & SUPP       | 9,676          | 0.00         | 9,500          | 0.00         | 8,180          | 0.00         | 8,180          | 0.00         |
| PROFESSIONAL SERVICES           | 84,681         | 0.00         | 119,317        | 0.00         | 112,565        | 0.00         | 112,565        | 0.00         |
| JANITORIAL SERVICES             | 0              | 0.00         | 300            | 0.00         | 300            | 0.00         | 300            | 0.00         |
| M&R SERVICES                    | 10,573         | 0.00         | 7,358          | 0.00         | 7,402          | 0.00         | 7,402          | 0.00         |
| COMPUTER EQUIPMENT              | 7,024          | 0.00         | 0              | 0.00         | 0              | 0.00         | 0              | 0.00         |
| OFFICE EQUIPMENT                | 1,492          | 0.00         | 50             | 0.00         | 50             | 0.00         | 50             | 0.00         |
| OTHER EQUIPMENT                 | 148            | 0.00         | 100            | 0.00         | 100            | 0.00         | 100            | 0.00         |
| PROPERTY & IMPROVEMENTS         | 0              | 0.00         | 10             | 0.00         | 10             | 0.00         | 10             | 0.00         |
| REAL PROPERTY RENTALS & LEASES  | 0              | 0.00         | 10             | 0.00         | 10             | 0.00         | 10             | 0.00         |
| EQUIPMENT RENTALS & LEASES      | 0              | 0.00         | 100            | 0.00         | 100            | 0.00         | 100            | 0.00         |
| MISCELLANEOUS EXPENSES          | 22             | 0.00         | 10             | 0.00         | 10             | 0.00         | 10             | 0.00         |

# **FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS**

# **DECISION ITEM DETAIL**

| Budget Unit              | FY 2005   | FY 2005 | FY 2006   | FY 2006 | FY 2007   | FY 2007  | FY 2007   | FY 2007 |
|--------------------------|-----------|---------|-----------|---------|-----------|----------|-----------|---------|
| Decision Item            | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC   | GOV REC |
| Budget Object Class      | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR    | FTE     |
| OFFICE OF PUBLIC COUNSEL |           |         |           |         |           |          |           |         |
| CORE                     |           |         |           |         |           |          |           |         |
| REBILLABLE EXPENSES      | 0         | 0.00    | 100       | 0.00    | 100       | 0.00     | 100       | 0.00    |
| TOTAL - EE               | 172,774   | 0.00    | 189,930   | 0.00    | 178,678   | 0.00     | 178,678   | 0.00    |
| GRAND TOTAL              | \$797,281 | 13.61   | \$729,960 | 11.00   | \$718,708 | 11.00    | \$718,708 | 11.00   |
| GENERAL REVENUE          | \$797,281 | 13.61   | \$729,960 | 11.00   | \$718,708 | 11.00    | \$718,708 | 11.00   |
| FEDERAL FUNDS            | \$0       | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0       | 0.00    |
| OTHER FUNDS              | \$0       | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0       | 0.00    |

## PROGRAM DESCRIPTION

Department of Economic Development

Program Name Office of Public Counsel

Program is found in the following core budget(s): Office of Public Counsel

**1. What does this program do?**

This core request will provide Public Counsel with sufficient expertise and resources to represent consumers of regulated Missouri utility companies. This request funds attorneys and a technical staff that provide expert analysis and recommendations to the Public Service Commission and in the courts. Public Counsel advocates for the interests of all consumers of investor-owned utilities in Missouri, with a particular focus on residential and small business consumers who have no other representation. The Public Counsel also has the authority to appeal PSC decisions through the court system when necessary to protect consumer interests.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Section 386.700, RSMo.

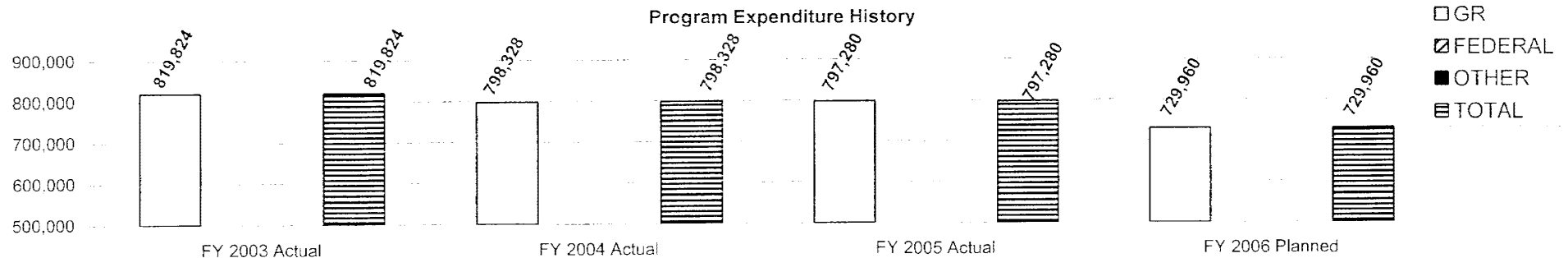
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

N/A

## PROGRAM DESCRIPTION

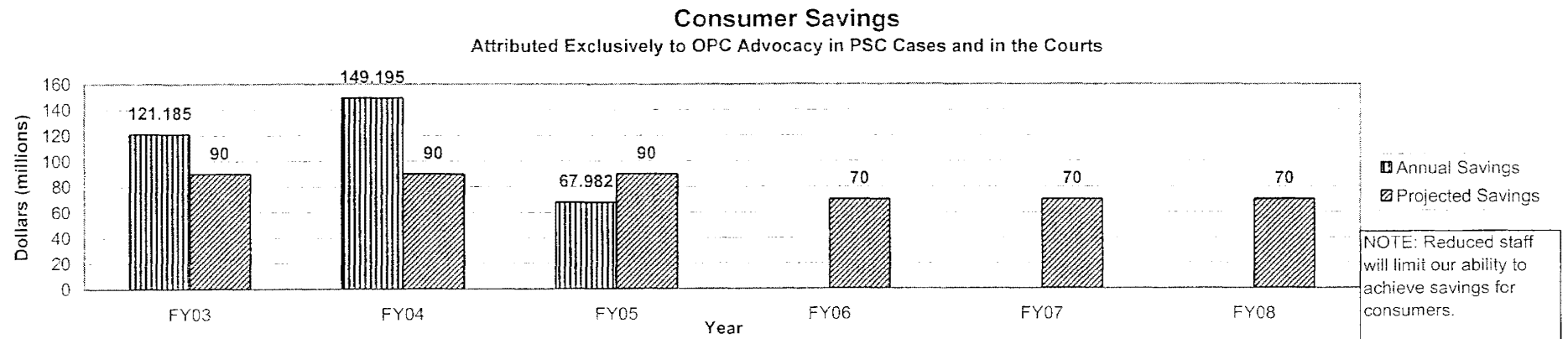
Department of Economic Development

Program Name Office of Public Counsel

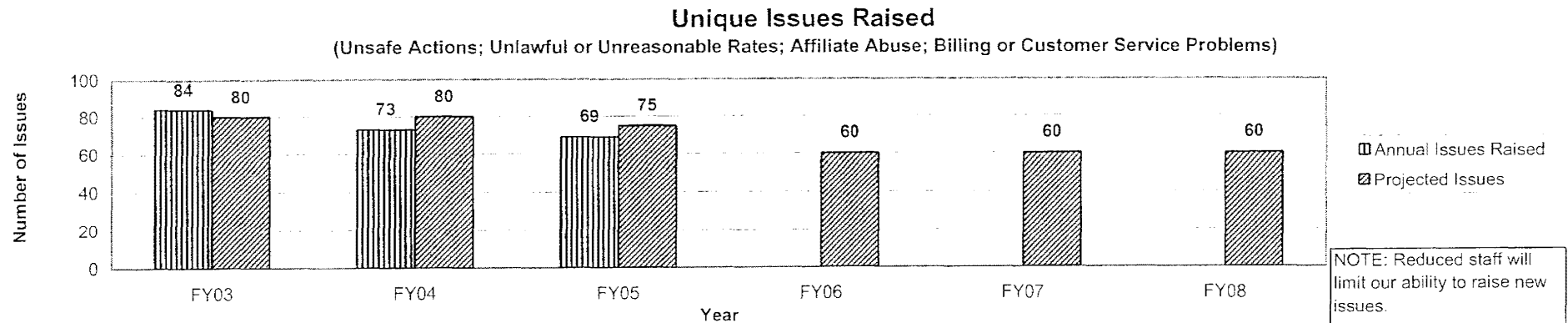
Program is found in the following core budget(s): Office of Public Counsel

**7a. Provide an effectiveness measure.**

How much consumer savings can be quantifiably attributed to the Office of the Public Counsel's (OPC's) advocacy before the Public Service Commission (PSC), in appeals from the PSC, and in other legal forums?



How many new consumer protection issues, unique to the Office of the Public Counsel, were raised in Public Service Commission cases relating to unsafe, unlawful or unreasonable actions, affiliate abuse, incorrect billing or other customer service problems?



## PROGRAM DESCRIPTION

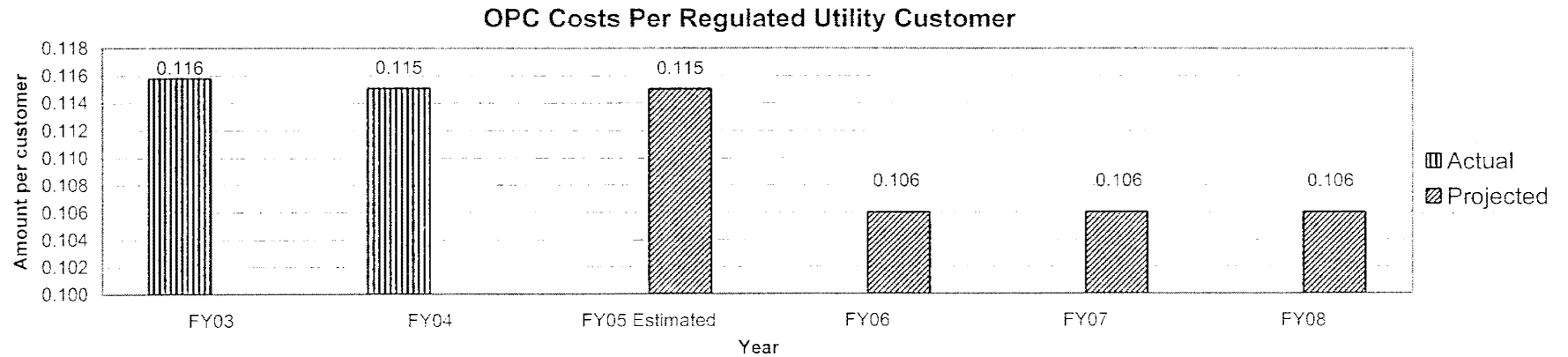
Department of Economic Development

Program Name Office of Public Counsel

Program is found in the following core budget(s): Office of Public Counsel

7b. Provide an efficiency measure.

What is the ratio of the Office of the Public Counsel's expenditures to the total number of regulated utility customers benefiting from OPC's consumer advocacy?



Note: FY05 Actual has yet to be determined.

# PROGRAM DESCRIPTION

Department of Economic Development

Program Name Office of Public Counsel

Program is found in the following core budget(s): Office of Public Counsel

## 7c. Provide the number of clients/individuals served, if applicable.

How are the total number of regulated utility customers broken down by industry type?

| Utility     | FY2003 Actual (A) | FY2004 Actual (B) | FY2005<br>estimated | FY2005<br>Actual | FY2006<br>projected | FY2007<br>targeted | FY2008<br>targeted |
|-------------|-------------------|-------------------|---------------------|------------------|---------------------|--------------------|--------------------|
| Electric    | 1,869,142         | 1,858,313         | 1,985,970           | 1,844,232        | 2,005,633           | 2,005,633          | 2,005,633          |
| Natural Gas | 1,419,811         | 1,365,579         | 1,419,294           | 1,255,034        | 1,419,294           | 1,419,294          | 1,419,294          |
| Water       | 445,849           | 486,450           | 481,233             |                  | 485,997             | 485,997            | 485,997            |
| Sewer       | 14,175            | 13,100            | 13,895              |                  | 14,032              | 14,032             | 14,032             |
| Telephone   | 3,332,413         | 3,062,899         | 3,018,972           | 3,097,437        | 2,975,044           | 2,975,044          | 2,975,044          |

(A) Source MPSC 2003 Annual Report

(B) Source MPSC 2004 Annual Report

(C) Source MPSC 2005 Annual Report. Water and Sewer have yet to be determined for FY 2005.

NOTE: Some Missouri households may be customers of more than one regulated utility.

## 7d. Provide a customer satisfaction measure, if available.

N/A



# FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS

# DECISION ITEM SUMMARY

| Budget Unit                            |              |         |              |         |              |          |              |         |
|--|--------------|---------|--------------|---------|--------------|----------|--------------|---------|
| Decision Item                          | FY 2005      | FY 2005 | FY 2006      | FY 2006 | FY 2007      | FY 2007  | FY 2007      | FY 2007 |
| Budget Object Summary                  | ACTUAL       | ACTUAL  | BUDGET       | BUDGET  | DEPT REQ     | DEPT REQ | GOV REC      | GOV REC |
| Fund                                   | DOLLAR       | FTE     | DOLLAR       | FTE     | DOLLAR       | FTE      | DOLLAR       | FTE     |
| PUBLIC SERVICE COMMISSION              |              |         |              |         |              |          |              |         |
| CORE                                   |              |         |              |         |              |          |              |         |
| PERSONAL SERVICES                      |              |         |              |         |              |          |              |         |
| PUBLIC SERVICE COMMISSION              | 8,839,516    | 188.77  | 9,379,301    | 199.00  | 9,418,350    | 199.00   | 9,418,350    | 193.00  |
| TOTAL - PS                             | 8,839,516    | 188.77  | 9,379,301    | 199.00  | 9,418,350    | 199.00   | 9,418,350    | 193.00  |
| EXPENSE & EQUIPMENT                    |              |         |              |         |              |          |              |         |
| DEAF RELAY SER & EQ DIST PRGM          | 3,522,264    | 0.00    | 5,000,000    | 0.00    | 5,000,000    | 0.00     | 5,000,000    | 0.00    |
| MANUFACTURED HOUSING FUND              | 0            | 0.00    | 2,235        | 0.00    | 2,235        | 0.00     | 2,235        | 0.00    |
| PUBLIC SERVICE COMMISSION              | 2,011,860    | 0.00    | 2,614,434    | 0.00    | 2,523,721    | 0.00     | 2,523,721    | 0.00    |
| TOTAL - EE                             | 5,534,124    | 0.00    | 7,616,669    | 0.00    | 7,525,956    | 0.00     | 7,525,956    | 0.00    |
| PROGRAM-SPECIFIC                       |              |         |              |         |              |          |              |         |
| DEAF RELAY SER & EQ DIST PRGM          | 1,007        | 0.00    | 0            | 0.00    | 0            | 0.00     | 0            | 0.00    |
| PUBLIC SERVICE COMMISSION              | 166,630      | 0.00    | 10,000       | 0.00    | 10,000       | 0.00     | 10,000       | 0.00    |
| TOTAL - PD                             | 167,637      | 0.00    | 10,000       | 0.00    | 10,000       | 0.00     | 10,000       | 0.00    |
| TOTAL                                  | 14,541,277   | 188.77  | 17,005,970   | 199.00  | 16,954,306   | 199.00   | 16,954,306   | 193.00  |
| GENERAL STRUCTURE ADJUSTMENT - 0000012 |              |         |              |         |              |          |              |         |
| PERSONAL SERVICES                      |              |         |              |         |              |          |              |         |
| PUBLIC SERVICE COMMISSION              | 0            | 0.00    | 0            | 0.00    | 0            | 0.00     | 376,734      | 0.00    |
| TOTAL - PS                             | 0            | 0.00    | 0            | 0.00    | 0            | 0.00     | 376,734      | 0.00    |
| TOTAL                                  | 0            | 0.00    | 0            | 0.00    | 0            | 0.00     | 376,734      | 0.00    |
| GRAND TOTAL                            | \$14,541,277 | 188.77  | \$17,005,970 | 199.00  | \$16,954,306 | 199.00   | \$17,331,040 | 193.00  |

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# **FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS**

# **DECISION ITEM SUMMARY**

| Budget Unit               |         |         |          |         |          |          |         |         |  |
|---------------------------|---------|---------|----------|---------|----------|----------|---------|---------|--|
| Decision Item             | FY 2005 | FY 2005 | FY 2006  | FY 2006 | FY 2007  | FY 2007  | FY 2007 | FY 2007 |  |
| Budget Object Summary     | ACTUAL  | ACTUAL  | BUDGET   | BUDGET  | DEPT REQ | DEPT REQ | GOV REC | GOV REC |  |
| Fund                      | DOLLAR  | FTE     | DOLLAR   | FTE     | DOLLAR   | FTE      | DOLLAR  | FTE     |  |
| PUBLIC SERV COM OVERTIME  |         |         |          |         |          |          |         |         |  |
| CORE                      |         |         |          |         |          |          |         |         |  |
| PERSONAL SERVICES         |         |         |          |         |          |          |         |         |  |
| PUBLIC SERVICE COMMISSION | 0       | 0.00    | 39,049   | 0.00    | 0        | 0.00     | 0       | 0.00    |  |
| TOTAL - PS                | 0       | 0.00    | 39,049   | 0.00    | 0        | 0.00     | 0       | 0.00    |  |
| TOTAL                     | 0       | 0.00    | 39,049   | 0.00    | 0        | 0.00     | 0       | 0.00    |  |
| GRAND TOTAL               | \$0     | 0.00    | \$39,049 | 0.00    | \$0      | 0.00     | \$0     | 0.00    |  |

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# CORE DECISION ITEM

|  |                    |
|--|--------------------|
| Department: Economic Development           | Budget Unit 42630C |
| Division: Public Service Commission        |                    |
| Core: Public Service Commission Regulatory |                    |

## 1. CORE FINANCIAL SUMMARY

|             | FY 2007 Budget Request |         |            |            |
|-------------|------------------------|---------|------------|------------|
|             | GR                     | Federal | Other      | Total      |
| PS          | 0                      | 0       | 9,418,350  | 9,418,350  |
| EE          | 0                      | 0       | 7,525,956  | 7,525,956  |
| PSD         | 0                      | 0       | 10,000     | 10,000 E   |
| Total       | 0                      | 0       | 16,954,306 | 16,954,306 |
| FTE         | 0.00                   | 0.00    | 199.00     | 199.00     |
| Est. Fringe | 0                      | 0       | 4,604,631  | 4,604,631  |

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Public Service Commission Fund (0607)  
Deaf Relay Serv. & Equip Distr. Fund (0559)  
Manufactured Housing (0582)

Notes: An "E" is requested for \$10,000 in Public Service Commission funds for refunds. \$39,049 reallocated from Overtime to PS per HB 367  
\$90,713 associated with utilities and janitorial expenses as provided by OA for FY2007 will be a core reduction in PSC Fund (0607) expense & equipment and transferred to the statewide leasing program/appropriation in HB 13.

|             | FY 2007 Governor's Recommendation |      |            |            |
|-------------|-----------------------------------|------|------------|------------|
|             | GR                                | Fed  | Other      | Total      |
| PS          | 0                                 | 0    | 9,418,350  | 9,418,350  |
| EE          | 0                                 | 0    | 7,525,956  | 7,525,956  |
| PSD         | 0                                 | 0    | 10,000     | 10,000 E   |
| Total       | 0                                 | 0    | 16,954,306 | 16,954,306 |
| FTE         | 0.00                              | 0.00 | 193.00     | 193.00     |
| Est. Fringe | 0                                 | 0    | 4,604,631  | 4,604,631  |

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Public Service Commission Fund (0607)  
Deaf Relay Serv. & Equip Distr. Fund (0559)  
Manufactured Housing (0582)

Notes: An "E" is requested for \$10,000 in Public Service Commission funds for refunds. \$39,049 reallocated from Overtime to PS per HB 367  
\$90,713 associated with utilities and janitorial expenses as provided by OA for FY2007 will be a core reduction in PSC Fund (0607) expense & equipment and transferred to the statewide leasing program/appropriation in HB 13. Gov Rec core reduction (6.00) FTE.

## 2. CORE DESCRIPTION

The Missouri Public Service Commission has the statutory responsibility of ensuring that consumers receive adequate amounts of safely delivered and reasonably priced utility services at rates that will provide the utility companies' shareholders the opportunity to earn a reasonable return on their investment. The Commission must balance a variety of often competing private interests to ensure the overall public interest. Much of the Commission's work is conducted through formal contested case hearings, similar to court proceedings. The statutory provisions governing the Commission are contained in Chapters 386, 392, 383, 394, and 700 RSMo. The Commission regulates the rates and practices of investor-owned local telephone, water, sewer, gas and electric companies. The PSC also administers the state's deaf relay program, Relay Missouri. Relay Missouri allows speech or hearing impaired people to communicate with hearing people by using a communications assistant who "relays" the conversation to the other party. The service allows a speech or hearing impaired person to use the telephone network without the other party using a TTY.

# CORE DECISION ITEM

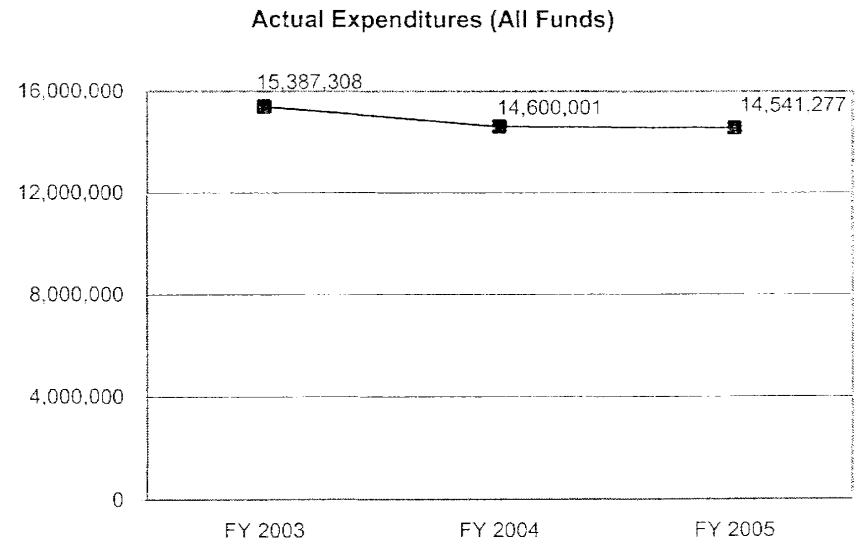
|  |                    |
|--|--------------------|
| Department: Economic Development           | Budget Unit 42630C |
| Division: Public Service Commission        |                    |
| Core: Public Service Commission Regulatory |                    |

## 3. PROGRAM LISTING (list programs included in this core funding)

PSC Regulatory Program, including Deaf Relay service.  
PSC Administration

## 4. FINANCIAL HISTORY

|                                 | FY 2003<br>Actual | FY 2004<br>Actual | FY 2005<br>Actual | FY 2006<br>Current Yr. |   |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|---|
| Appropriation (All Funds)       | 18,168,844        | 18,332,759        | 18,763,050        | 17,005,970             | E |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | N/A                    |   |
| Budget Authority (All Funds)    | 18,168,844        | 18,332,759        | 18,763,050        | N/A                    |   |
| Actual Expenditures (All Funds) | 15,387,308        | 14,600,001        | 14,541,277        | N/A                    |   |
| Unexpended (All Funds)          | 2,781,536         | 3,732,758         | 4,221,773         | N/A                    |   |
| Unexpended, by Fund:            |                   |                   |                   |                        |   |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |   |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |   |
| Other                           | 2,781,536         | 3,732,758         | 4,221,773         | N/A                    |   |
|                                 | (1)               | (2)               | (3)               | (4)                    |   |



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

**NOTES:** Any appropriation lapse monies will remain in the PSC Fund, not transferred into General Revenue, but obligated for next fiscal year's budget and used as a reduction of the PSC assessment to regulated utility companies per Chapter 386.370 RSMo. Lapsed monies are primarily due to employee turnover, vacancies, and various cost containment measures implemented within the agency.

The PSC Manufactured Housing component (\$2,235) for this budget section was associated with the Commission's relocation to the Governor's Office Building.

**CORE DECISION ITEM**

|   |             |                |              |              |   |             |             |              |              |
|---|-------------|----------------|--------------|--------------|---|-------------|-------------|--------------|--------------|
| <b>Department: Economic Development</b>   |             |                |              |              | <b>Budget Unit 42635C</b>   |             |             |              |              |
| <b>Division: Public Service Commission</b>  |             |                |              |              |   |             |             |              |              |
| <b>Core: Public Service Commission - Overtime</b>   |             |                |              |              |   |             |             |              |              |
| <b>1. CORE FINANCIAL SUMMARY</b>  |             |                |              |              |   |             |             |              |              |
| <b>FY 2007 Budget Request</b>   |             |                |              |              | <b>FY 2007 Governor's Recommendation</b>  |             |             |              |              |
|   | <b>GR</b>   | <b>Federal</b> | <b>Other</b> | <b>Total</b> |   | <b>GR</b>   | <b>Fed</b>  | <b>Other</b> | <b>Total</b> |
| PS  | 0           | 0              | 0            | 0            | PS  | 0           | 0           | 0            | 0            |
| EE  | 0           | 0              | 0            | 0            | EE  | 0           | 0           | 0            | 0            |
| PSD   | 0           | 0              | 0            | 0            | PSD   | 0           | 0           | 0            | 0            |
| <b>Total</b>  | <b>0</b>    | <b>0</b>       | <b>0</b>     | <b>0</b>     | <b>Total</b>  | <b>0</b>    | <b>0</b>    | <b>0</b>     | <b>0</b>     |
| <b>FTE</b>  | <b>0.00</b> | <b>0.00</b>    | <b>0.00</b>  | <b>0.00</b>  | <b>FTE</b>  | <b>0.00</b> | <b>0.00</b> | <b>0.00</b>  | <b>0.00</b>  |
| <b>Est. Fringe</b>  | <b>0</b>    | <b>0</b>       | <b>0</b>     | <b>0</b>     | <b>Est. Fringe</b>  | <b>0</b>    | <b>0</b>    | <b>0</b>     | <b>0</b>     |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>  |             |                |              |              | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>  |             |             |              |              |
| Other Funds: Public Service Commission (0607)<br>Notes: In response to HB 367 passed last session, overtime appropriations set aside in FY06 for paying overtime to non-exempt employees are being reallocated back to the appropriate personal service appropriations. Public Service Commission \$39,049 reallocated from Public Service Commission - Overtime to Public Service Commission Regulatory. |             |                |              |              | Other Funds: Public Service Commission (0607)<br>Notes: In response to HB 367 passed last session, overtime appropriations set aside in FY06 for paying overtime to non-exempt employees are being reallocated back to the appropriate personal service appropriations. Public Service Commission \$39,049 reallocated from Public Service Commission - Overtime to Public Service Commission Regulatory. |             |             |              |              |
| <b>2. CORE DESCRIPTION</b>  |             |                |              |              |   |             |             |              |              |
| For the purpose of paying overtime to nonexempt state employees as required by Section 105.935, and/or for otherwise authorized Personal Service expenditures in lieu of such overtime payments.  |             |                |              |              |   |             |             |              |              |
| <b>NOTE:</b> In response to HB 367 passed last session, overtime appropriations set aside in FY06 for paying overtime to non-exempt employees are being reallocated back to the appropriate personal service appropriations in core budget.   |             |                |              |              |   |             |             |              |              |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>   |             |                |              |              |   |             |             |              |              |
| Public Service Commission Regulatory  |             |                |              |              |   |             |             |              |              |

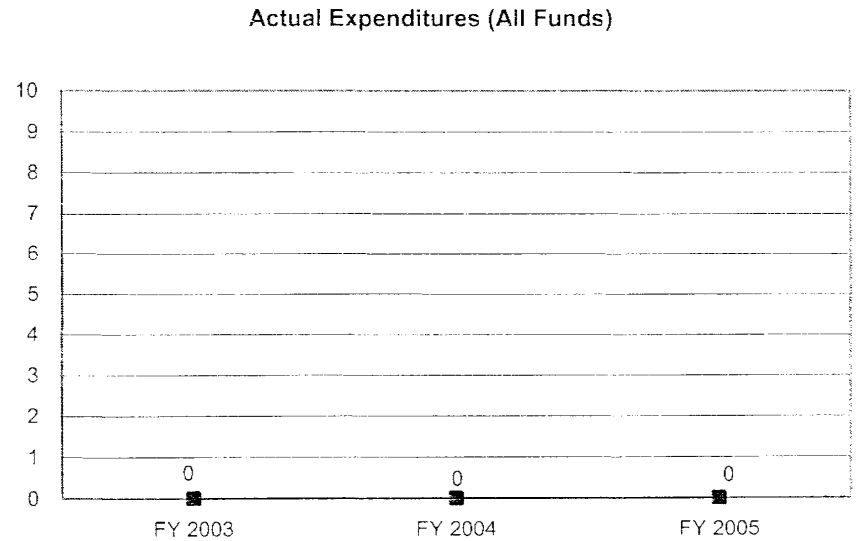
**CORE DECISION ITEM**

**Department:** Economic Development  
**Division:** Public Service Commission  
**Core:** Public Service Commission - Overtime

**Budget Unit** 42635C

**4. FINANCIAL HISTORY**

|                                 | <b>FY 2003<br/>Actual</b> | <b>FY 2004<br/>Actual</b> | <b>FY 2005<br/>Actual</b> | <b>FY 2006<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 0                         | 0                         | 0                         | 39,049                         |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | N/A                            |
| Budget Authority (All Funds)    | 0                         | 0                         | 0                         | N/A                            |
| Actual Expenditures (All Funds) | 0                         | 0                         | 0                         | N/A                            |
| Unexpended (All Funds)          | 0                         | 0                         | 0                         | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 0                         | 0                         | 0                         | N/A                            |
| Other                           | 0                         | 0                         | 0                         | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       | (4)                            |



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

**NOTES:**

- (1) Requirement effective in FY06.
- (2) Requirement effective in FY06.
- (3) Requirement effective in FY06.
- (4) In response to HB 367 passed last session, overtime appropriations set aside in FY06 for paying overtime to non-exempt employees are being reallocated back to the appropriate personal service appropriations.

# CORE RECONCILIATION

DEPARTMENT OF ECONOMIC DEVELOPMENT  
PUBLIC SERVICE COMMISSION

## 5. CORE RECONCILIATION

|   |         | Budget<br>Class | FTE           | GR       | Federal  | Other             | Total             | Explanation  |
|---|---------|-----------------|---------------|----------|----------|-------------------|-------------------|--|
| <b>TAFP AFTER VETOES</b>                      |         |                 |               |          |          |                   |                   |  |
|   |         | PS              | 199.00        | 0        | 0        | 9,379,301         | 9,379,301         |  |
|   |         | EE              | 0.00          | 0        | 0        | 7,616,669         | 7,616,669         |  |
|   |         | PD              | 0.00          | 0        | 0        | 10,000            | 10,000            |  |
|   |         | <b>Total</b>    | <b>199.00</b> | <b>0</b> | <b>0</b> | <b>17,005,970</b> | <b>17,005,970</b> |  |
| <b>DEPARTMENT CORE ADJUSTMENTS</b>            |         |                 |               |          |          |                   |                   |  |
| Transfer Out                                  | [#167]  | EE              | 0.00          | 0        | 0        | (90,713)          | (90,713)          | DED JANITORIAL & UTILITY COSTS FOR LEASED FACILITY TRANSFER TO HB13 (BOC 180 \$86,874 & BOC 420 \$3,839) |
| Core Reallocation                             | [#321]  | PS              | 0.00          | 0        | 0        | 39,049            | 39,049            | DED PSC ADJUSTMENT FOR RETURN OF OT TO PS PER HB367 (APPROP 7153 BOC 100 \$39,049)                       |
| <b>NET DEPARTMENT CHANGES</b>                 |         |                 | <b>0.00</b>   | <b>0</b> | <b>0</b> | <b>(51,664)</b>   | <b>(51,664)</b>   |  |
| <b>DEPARTMENT CORE REQUEST</b>                |         |                 |               |          |          |                   |                   |  |
|   |         | PS              | 199.00        | 0        | 0        | 9,418,350         | 9,418,350         |  |
|   |         | EE              | 0.00          | 0        | 0        | 7,525,956         | 7,525,956         |  |
|   |         | PD              | 0.00          | 0        | 0        | 10,000            | 10,000            |  |
|   |         | <b>Total</b>    | <b>199.00</b> | <b>0</b> | <b>0</b> | <b>16,954,306</b> | <b>16,954,306</b> |  |
| <b>GOVERNOR'S ADDITIONAL CORE ADJUSTMENTS</b> |         |                 |               |          |          |                   |                   |  |
| Core Reduction                                | [#3126] | PS              | (6.00)        | 0        | 0        | 0                 | 0                 | 0 FTE core reduction part of core cut exercise.  |
| <b>NET GOVERNOR CHANGES</b>                   |         |                 | <b>(6.00)</b> | <b>0</b> | <b>0</b> | <b>0</b>          | <b>0</b>          |  |
| <b>GOVERNOR'S RECOMMENDED CORE</b>            |         |                 |               |          |          |                   |                   |  |
|   |         | PS              | 193.00        | 0        | 0        | 9,418,350         | 9,418,350         |  |
|   |         | EE              | 0.00          | 0        | 0        | 7,525,956         | 7,525,956         |  |

# CORE RECONCILIATION

DEPARTMENT OF ECONOMIC DEVELOPMENT  
PUBLIC SERVICE COMMISSION

## 5. CORE RECONCILIATION

|                             | Budget<br>Class | FTE    | GR | Federal | Other      | Total      | Explanation |
|-----------------------------|-----------------|--------|----|---------|------------|------------|-------------|
| GOVERNOR'S RECOMMENDED CORE |                 |        |    |         |            |            |             |
|                             | PD              | 0.00   | 0  | 0       | 10,000     | 10,000     |             |
|                             | Total           | 193.00 | 0  | 0       | 16,954,306 | 16,954,306 |             |

# CORE RECONCILIATION

DEPARTMENT OF ECONOMIC DEVELOPMENT  
PUBLIC SERVICE COMMISSION OVERTIME

## 5. CORE RECONCILIATION

|                             | Budget<br>Class | FTE  | GR | Federal | Other    | Total    | Explanation   |
|-----------------------------|-----------------|------|----|---------|----------|----------|---|
| TAFP AFTER VETOES           |                 |      |    |         |          |          |   |
|                             | PS              | 0.00 | 0  | 0       | 39,049   | 39,049   |   |
|                             | Total           | 0.00 | 0  | 0       | 39,049   | 39,049   |   |
| DEPARTMENT CORE ADJUSTMENTS |                 |      |    |         |          |          |   |
| Core Reallocation           | [#320] PS       | 0.00 | 0  | 0       | (39,049) | (39,049) | DED PSC ADJUSTMENT FOR RETURN OF OT TO PS PER HB367 (APPROP 1428 BOC 100 \$39,049). |
| NET DEPARTMENT CHANGES      |                 | 0.00 | 0  | 0       | (39,049) | (39,049) |   |
| DEPARTMENT CORE REQUEST     |                 |      |    |         |          |          |   |
|                             | PS              | 0.00 | 0  | 0       | 0        | 0        |   |
|                             | Total           | 0.00 | 0  | 0       | 0        | 0        |   |
| GOVERNOR'S RECOMMENDED CORE |                 |      |    |         |          |          |   |
|                             | PS              | 0.00 | 0  | 0       | 0        | 0        |   |
|                             | Total           | 0.00 | 0  | 0       | 0        | 0        |   |



# FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS

# DECISION ITEM DETAIL

| Budget Unit                    | FY 2005 | FY 2005 | FY 2006 | FY 2006 | FY 2007  | FY 2007  | FY 2007 | FY 2007 |
|--------------------------------|---------|---------|---------|---------|----------|----------|---------|---------|
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR  | FTE     |
| PUBLIC SERVICE COMMISSION      |         |         |         |         |          |          |         |         |
| CORE                           |         |         |         |         |          |          |         |         |
| SR OFC SUPPORT ASST (CLERICAL) | 21,496  | 0.89    | 24,588  | 1.00    | 24,588   | 1.00     | 24,588  | 1.00    |
| ADMIN OFFICE SUPPORT ASSISTANT | 139,697 | 4.84    | 143,345 | 5.00    | 144,732  | 5.00     | 144,732 | 5.00    |
| OFFICE SUPPORT ASST (STENO)    | 6,170   | 0.24    | 25,860  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| SR OFC SUPPORT ASST (STENO)    | 221,048 | 8.25    | 241,245 | 9.00    | 215,208  | 8.00     | 215,208 | 8.00    |
| SR OFC SUPPORT ASST (KEYBRD)   | 4,164   | 0.17    | 0       | 0.00    | 24,984   | 1.00     | 24,984  | 1.00    |
| OFFICE SERVICES ASST           | 28,210  | 1.00    | 28,260  | 1.00    | 28,260   | 1.00     | 28,260  | 1.00    |
| COMPUTER INFO TECHNOLOGIST II  | 48,965  | 1.40    | 38,977  | 1.00    | 34,416   | 1.00     | 34,416  | 1.00    |
| COMPUTER INFO TECHNOLOGIST III | 223,850 | 5.04    | 215,806 | 5.00    | 222,480  | 5.00     | 222,480 | 5.00    |
| COMPUTER INFO TECH SPEC II     | 51,322  | 1.00    | 51,225  | 1.00    | 51,372   | 1.00     | 51,372  | 1.00    |
| COMP INFO TECHNOLOGY MGR I     | 61,018  | 1.00    | 55,007  | 1.00    | 62,112   | 1.00     | 62,112  | 1.00    |
| ACCOUNT CLERK I                | 5,648   | 0.26    | 0       | 0.00    | 10,782   | 0.50     | 10,782  | 0.50    |
| ACCOUNTANT I                   | 17,408  | 0.67    | 0       | 0.00    | 39,774   | 1.50     | 39,774  | 1.50    |
| ACCOUNTANT II                  | 36,394  | 1.00    | 36,411  | 1.00    | 36,444   | 1.00     | 36,444  | 1.00    |
| ACCOUNTANT III                 | 41,565  | 1.04    | 39,192  | 1.00    | 40,080   | 1.00     | 40,080  | 1.00    |
| PERSONNEL ANAL I               | 22,660  | 0.79    | 0       | 0.00    | 0        | 0.00     | 0       | 0.00    |
| PERSONNEL ANAL II              | 0       | 0.00    | 38,706  | 1.00    | 34,416   | 1.00     | 34,416  | 1.00    |
| RESEARCH ANAL II               | 4,098   | 0.13    | 33,092  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| PUBLIC INFORMATION SPEC I      | 35,026  | 1.00    | 35,076  | 1.00    | 35,076   | 1.00     | 35,076  | 1.00    |
| PUBLIC INFORMATION COOR        | 38,565  | 1.00    | 38,532  | 1.00    | 38,532   | 1.00     | 38,532  | 1.00    |
| PUBLIC INFORMATION ADMSTR      | 50,290  | 1.00    | 50,340  | 1.00    | 50,340   | 1.00     | 50,340  | 1.00    |
| EXECUTIVE I                    | 30,238  | 1.00    | 30,164  | 1.00    | 30,288   | 1.00     | 30,288  | 1.00    |
| PERSONNEL CLERK                | 28,706  | 1.00    | 28,245  | 1.00    | 26,808   | 1.00     | 26,808  | 1.00    |
| LEGISLATIVE COORDINATOR        | 21,351  | 0.43    | 0       | 0.00    | 51,372   | 1.00     | 51,372  | 1.00    |
| ADMINISTRATIVE ANAL III        | 38,482  | 1.00    | 38,532  | 1.00    | 38,532   | 1.00     | 38,532  | 1.00    |
| CH UTILITY ECONOMIST           | 72,202  | 1.00    | 72,252  | 1.00    | 72,252   | 1.00     | 72,252  | 1.00    |
| CONSUMER SERVICES SPEC I       | 57,548  | 2.00    | 57,648  | 2.00    | 53,616   | 2.00     | 53,616  | 2.00    |
| CONSUMER SERVICES SPEC II      | 191,500 | 5.99    | 191,234 | 6.00    | 192,156  | 6.00     | 192,156 | 6.00    |
| UTILITY REGULATORY AUDITOR I   | 47,239  | 1.54    | 41,213  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| UTILITY REGULATORY AUDITOR II  | 45,173  | 1.38    | 31,376  | 1.00    | 66,360   | 2.00     | 66,360  | 1.00    |
| UTILITY REGULATORY AUDITOR III | 643,827 | 15.42   | 706,550 | 17.00   | 667,056  | 16.00    | 667,056 | 16.00   |
| UTILITY REGULATORY AUDITOR IV  | 392,841 | 7.71    | 405,112 | 8.00    | 407,940  | 8.00     | 407,940 | 8.00    |
| UTILITY REGULATORY AUDITOR V   | 295,477 | 5.01    | 291,085 | 5.00    | 295,104  | 5.00     | 295,104 | 5.00    |

# FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS

# DECISION ITEM DETAIL

| Budget Unit                    | FY 2005 | FY 2005 | FY 2006 | FY 2006 | FY 2007  | FY 2007  | FY 2007 | FY 2007 |
|--------------------------------|---------|---------|---------|---------|----------|----------|---------|---------|
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR  | FTE     |
| PUBLIC SERVICE COMMISSION      |         |         |         |         |          |          |         |         |
| CORE                           |         |         |         |         |          |          |         |         |
| REGULATORY ECONOMIST I         | 37,437  | 1.08    | 0       | 0.00    | 41,916   | 1.00     | 41,916  | 1.00    |
| REGULATORY ECONOMIST II        | 374,056 | 8.97    | 412,896 | 10.00   | 396,816  | 9.00     | 396,816 | 8.00    |
| REGULATORY ECONOMIST III       | 175,810 | 3.33    | 215,064 | 4.00    | 217,140  | 4.00     | 217,140 | 4.00    |
| MGR ECONOMIC ANALYSIS          | 50,030  | 0.83    | 62,112  | 1.00    | 60,792   | 1.00     | 60,792  | 1.00    |
| UTILITY MANAGEMENT ANALYST II  | 36,393  | 1.00    | 35,996  | 1.00    | 36,444   | 1.00     | 36,444  | 1.00    |
| UTILITY MANAGEMENT ANALYST III | 194,095 | 4.00    | 194,048 | 4.00    | 194,196  | 4.00     | 194,196 | 4.00    |
| UTILITY POLICY ANALYST I       | 77,733  | 1.94    | 80,160  | 2.00    | 80,160   | 2.00     | 80,160  | 2.00    |
| UTILITY POLICY ANALYST II      | 71,875  | 1.21    | 113,537 | 2.00    | 60,792   | 1.00     | 60,792  | 1.00    |
| UTILITY ENGINEERING SPEC I     | 101,991 | 2.58    | 96,600  | 2.00    | 0        | 0.00     | 0       | 0.00    |
| UTILITY ENGINEERING SPEC II    | 176,886 | 4.00    | 266,376 | 6.00    | 346,500  | 8.00     | 346,500 | 8.00    |
| UTILITY ENGINEERING SPEC III   | 306,031 | 6.00    | 257,988 | 5.00    | 307,260  | 6.00     | 307,260 | 6.00    |
| UTILITY REGULATORY ENGINEER I  | 207,472 | 4.21    | 303,070 | 6.00    | 205,032  | 4.00     | 205,032 | 4.00    |
| UTILITY REGULATORY ENGINEER II | 149,169 | 2.61    | 124,224 | 2.00    | 172,380  | 3.00     | 172,380 | 3.00    |
| UTILITY REGULATORY ENG SPV     | 191,496 | 3.00    | 190,596 | 3.00    | 195,900  | 3.00     | 195,900 | 3.00    |
| UTILITY OPERS TECH SPEC II     | 252,096 | 5.99    | 251,900 | 6.00    | 252,823  | 6.00     | 252,823 | 6.00    |
| RATE & TARIFF EXAMINER I       | 0       | 0.00    | 75,624  | 2.00    | 0        | 0.00     | 0       | 0.00    |
| RATE & TARIFF EXAMINER II      | 130,987 | 3.46    | 80,448  | 2.00    | 150,600  | 4.00     | 150,600 | 4.00    |
| RATE & TARIFF EXAMINER III     | 41,626  | 1.00    | 41,676  | 1.00    | 41,676   | 1.00     | 41,676  | 1.00    |
| RATE & TARIFF EXAMINATION SPV  | 156,098 | 2.83    | 168,804 | 3.00    | 166,428  | 3.00     | 166,428 | 3.00    |
| FISCAL & ADMINISTRATIVE MGR B1 | 52,402  | 1.00    | 52,255  | 1.00    | 52,452   | 1.00     | 52,452  | 1.00    |
| HUMAN RESOURCES MGR B1         | 44,538  | 0.85    | 52,452  | 1.00    | 52,452   | 1.00     | 52,452  | 1.00    |
| UTILITY REGULATORY MNGR, BAND1 | 52,402  | 1.00    | 52,452  | 1.00    | 52,452   | 1.00     | 52,452  | 1.00    |
| UTILITY REGULATORY MNGR, BAND2 | 111,319 | 1.92    | 175,812 | 3.00    | 175,812  | 3.00     | 175,812 | 3.00    |
| UTILITY REGULATORY MNGR, BAND3 | 262,066 | 3.98    | 263,275 | 4.00    | 263,520  | 4.00     | 263,520 | 4.00    |
| DIVISION DIRECTOR              | 301,287 | 4.06    | 366,662 | 5.00    | 367,213  | 5.00     | 367,213 | 5.00    |
| DESIGNATED PRINCIPAL ASST DIV  | 422,484 | 11.76   | 427,689 | 12.00   | 429,689  | 12.00    | 429,689 | 11.00   |
| ASSOCIATE COUNSEL              | 74,816  | 1.67    | 0       | 0.00    | 43,584   | 1.00     | 43,584  | 1.00    |
| PROGRAM CONSULTANT             | 239,848 | 3.74    | 321,000 | 5.00    | 396,098  | 8.00     | 396,098 | 5.00    |
| PARALEGAL                      | 20,337  | 0.71    | 29,099  | 1.00    | 29,244   | 1.00     | 29,244  | 1.00    |
| LEGAL COUNSEL                  | 40,123  | 0.98    | 131,568 | 3.00    | 42,756   | 1.00     | 42,756  | 1.00    |
| REGULATORY LAW JUDGE           | 395,134 | 6.92    | 398,264 | 7.00    | 400,304  | 7.00     | 400,304 | 7.00    |
| COMMISSION MEMBER              | 380,716 | 4.00    | 380,916 | 4.00    | 380,916  | 4.00     | 380,916 | 4.00    |

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# FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS

# DECISION ITEM DETAIL

| Budget Unit                      | FY 2005          | FY 2005       | FY 2006          | FY 2006       | FY 2007          | FY 2007       | FY 2007          | FY 2007       |
|----------------------------------|------------------|---------------|------------------|---------------|------------------|---------------|------------------|---------------|
| Decision Item                    | ACTUAL           | ACTUAL        | BUDGET           | BUDGET        | DEPT REQ         | DEPT REQ      | GOV REC          | GOV REC       |
| Budget Object Class              | DOLLAR           | FTE           | DOLLAR           | FTE           | DOLLAR           | FTE           | DOLLAR           | FTE           |
| <b>PUBLIC SERVICE COMMISSION</b> |                  |               |                  |               |                  |               |                  |               |
| <b>CORE</b>                      |                  |               |                  |               |                  |               |                  |               |
| COMMISSION CHAIRMAN              | 95,179           | 1.00          | 95,229           | 1.00          | 95,229           | 1.00          | 95,229           | 1.00          |
| STUDENT WORKER                   | 4,176            | 0.16          | 12,966           | 0.50          | 0                | 0.00          | 0                | 0.00          |
| SENIOR COUNSEL                   | 323,590          | 6.33          | 307,898          | 5.00          | 306,168          | 6.00          | 306,168          | 6.00          |
| DEPUTY COUNSEL                   | 248,326          | 4.00          | 248,307          | 4.00          | 248,526          | 4.00          | 248,526          | 4.00          |
| CLERK                            | 24,579           | 1.03          | 0                | 0.00          | 0                | 0.00          | 0                | 0.00          |
| OFFICE WORKER MISCELLANEOUS      | 26,205           | 0.46          | 0                | 0.00          | 0                | 0.00          | 0                | 0.00          |
| ACCOUNT CLERK                    | 475              | 0.02          | 0                | 0.00          | 0                | 0.00          | 0                | 0.00          |
| PERSONNEL CONSULTANT             | 952              | 0.02          | 0                | 0.00          | 0                | 0.00          | 0                | 0.00          |
| MISCELLANEOUS TECHNICAL          | 12,636           | 0.27          | 0                | 0.00          | 0                | 0.00          | 0                | 0.00          |
| PRINCIPAL ASST BOARD/COMMISSON   | 52,467           | 0.65          | 80,272           | 1.00          | 80,000           | 1.00          | 80,000           | 1.00          |
| UCP PENDING CLASSIFICATION       | 0                | 0.00          | 22,993           | 2.50          | 80,000           | 1.00          | 80,000           | 1.00          |
| <b>TOTAL - PS</b>                | <b>8,839,516</b> | <b>188.77</b> | <b>9,379,301</b> | <b>199.00</b> | <b>9,418,350</b> | <b>199.00</b> | <b>9,418,350</b> | <b>193.00</b> |
| TRAVEL, IN-STATE                 | 114,464          | 0.00          | 245,000          | 0.00          | 185,000          | 0.00          | 185,000          | 0.00          |
| TRAVEL, OUT-OF-STATE             | 61,159           | 0.00          | 85,000           | 0.00          | 85,000           | 0.00          | 85,000           | 0.00          |
| FUEL & UTILITIES                 | 88,690           | 0.00          | 100,000          | 0.00          | 13,126           | 0.00          | 13,126           | 0.00          |
| SUPPLIES                         | 227,896          | 0.00          | 324,000          | 0.00          | 300,000          | 0.00          | 300,000          | 0.00          |
| PROFESSIONAL DEVELOPMENT         | 107,168          | 0.00          | 130,000          | 0.00          | 130,000          | 0.00          | 130,000          | 0.00          |
| COMMUNICATION SERV & SUPP        | 188,302          | 0.00          | 230,000          | 0.00          | 220,000          | 0.00          | 220,000          | 0.00          |
| PROFESSIONAL SERVICES            | 4,182,785        | 0.00          | 5,748,386        | 0.00          | 5,844,000        | 0.00          | 5,844,000        | 0.00          |
| JANITORIAL SERVICES              | 3,000            | 0.00          | 7,235            | 0.00          | 3,396            | 0.00          | 3,396            | 0.00          |
| M&R SERVICES                     | 374,945          | 0.00          | 175,000          | 0.00          | 385,000          | 0.00          | 385,000          | 0.00          |
| COMPUTER EQUIPMENT               | 160,559          | 0.00          | 450,000          | 0.00          | 250,000          | 0.00          | 250,000          | 0.00          |
| OFFICE EQUIPMENT                 | 6,043            | 0.00          | 75,000           | 0.00          | 75,000           | 0.00          | 75,000           | 0.00          |
| OTHER EQUIPMENT                  | 521              | 0.00          | 6,000            | 0.00          | 4,000            | 0.00          | 4,000            | 0.00          |
| PROPERTY & IMPROVEMENTS          | 0                | 0.00          | 714              | 0.00          | 714              | 0.00          | 714              | 0.00          |
| REAL PROPERTY RENTALS & LEASES   | 1,591            | 0.00          | 3,934            | 0.00          | 3,934            | 0.00          | 3,934            | 0.00          |
| EQUIPMENT RENTALS & LEASES       | 0                | 0.00          | 5,900            | 0.00          | 3,286            | 0.00          | 3,286            | 0.00          |
| MISCELLANEOUS EXPENSES           | 17,001           | 0.00          | 30,500           | 0.00          | 23,500           | 0.00          | 23,500           | 0.00          |
| <b>TOTAL - EE</b>                | <b>5,534,124</b> | <b>0.00</b>   | <b>7,616,669</b> | <b>0.00</b>   | <b>7,525,956</b> | <b>0.00</b>   | <b>7,525,956</b> | <b>0.00</b>   |

# FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS

# DECISION ITEM DETAIL

| Budget Unit               | FY 2005      | FY 2005 | FY 2006      | FY 2006 | FY 2007      | FY 2007  | FY 2007      | FY 2007 |
|---------------------------|--------------|---------|--------------|---------|--------------|----------|--------------|---------|
| Decision Item             | ACTUAL       | ACTUAL  | BUDGET       | BUDGET  | DEPT REQ     | DEPT REQ | GOV REC      | GOV REC |
| Budget Object Class       | DOLLAR       | FTE     | DOLLAR       | FTE     | DOLLAR       | FTE      | DOLLAR       | FTE     |
| PUBLIC SERVICE COMMISSION |              |         |              |         |              |          |              |         |
| CORE                      |              |         |              |         |              |          |              |         |
| REFUNDS                   | 167,637      | 0.00    | 10,000       | 0.00    | 10,000       | 0.00     | 10,000       | 0.00    |
| TOTAL - PD                | 167,637      | 0.00    | 10,000       | 0.00    | 10,000       | 0.00     | 10,000       | 0.00    |
| GRAND TOTAL               | \$14,541,277 | 188.77  | \$17,005,970 | 199.00  | \$16,954,306 | 199.00   | \$16,954,306 | 193.00  |
| GENERAL REVENUE           | \$0          | 0.00    | \$0          | 0.00    | \$0          | 0.00     | \$0          | 0.00    |
| FEDERAL FUNDS             | \$0          | 0.00    | \$0          | 0.00    | \$0          | 0.00     | \$0          | 0.00    |
| OTHER FUNDS               | \$14,541,277 | 188.77  | \$17,005,970 | 199.00  | \$16,954,306 | 199.00   | \$16,954,306 | 193.00  |

# **FY-07 ECONOMIC DEVELOPMENT GOV RECOMMENDS**

# **DECISION ITEM DETAIL**

| Budget Unit                     | FY 2005    | FY 2005     | FY 2006         | FY 2006     | FY 2007    | FY 2007     | FY 2007    | FY 2007     |
|---------------------------------|------------|-------------|-----------------|-------------|------------|-------------|------------|-------------|
| Decision Item                   | ACTUAL     | ACTUAL      | BUDGET          | BUDGET      | DEPT REQ   | DEPT REQ    | GOV REC    | GOV REC     |
| Budget Object Class             | DOLLAR     | FTE         | DOLLAR          | FTE         | DOLLAR     | FTE         | DOLLAR     | FTE         |
| <b>PUBLIC SERV COM OVERTIME</b> |            |             |                 |             |            |             |            |             |
| <b>CORE</b>                     |            |             |                 |             |            |             |            |             |
| OTHER                           | 0          | 0.00        | 39,049          | 0.00        | 0          | 0.00        | 0          | 0.00        |
| TOTAL - PS                      | 0          | 0.00        | 39,049          | 0.00        | 0          | 0.00        | 0          | 0.00        |
| <b>GRAND TOTAL</b>              | <b>\$0</b> | <b>0.00</b> | <b>\$39,049</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> |
| GENERAL REVENUE                 | \$0        | 0.00        | \$0             | 0.00        | \$0        | 0.00        |            | 0.00        |
| FEDERAL FUNDS                   | \$0        | 0.00        | \$0             | 0.00        | \$0        | 0.00        |            | 0.00        |
| OTHER FUNDS                     | \$0        | 0.00        | \$39,049        | 0.00        | \$0        | 0.00        |            | 0.00        |

## PROGRAM DESCRIPTION

Department of Economic Development

Program Name PSC Regulatory - Administration

Program is found in the following core budget(s): Public Service Commission

**1. What does this program do?**

The Administration Division is responsible for managing the Commission's fiscal, personnel and information resources. This Division oversees budget development, strategic planning, budget and fiscal services, procurement, staff services, consumer services, human resources, payroll, information services and training functions for the agency.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Chapters 386, 392, 383, 394 and 700 RSMo.

**3. Are there federal matching requirements? If yes, please explain.**

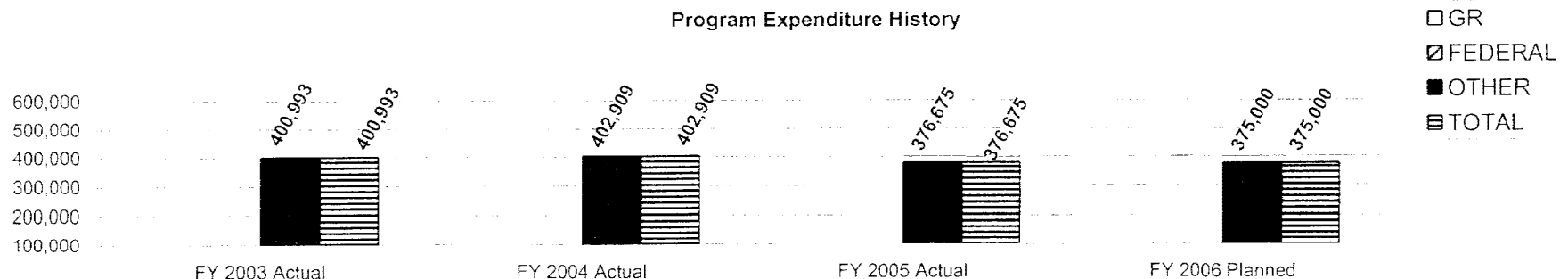
No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**

Program Expenditure History



**6. What are the sources of the "Other " funds?**

PSC Fund (0607)

## PROGRAM DESCRIPTION

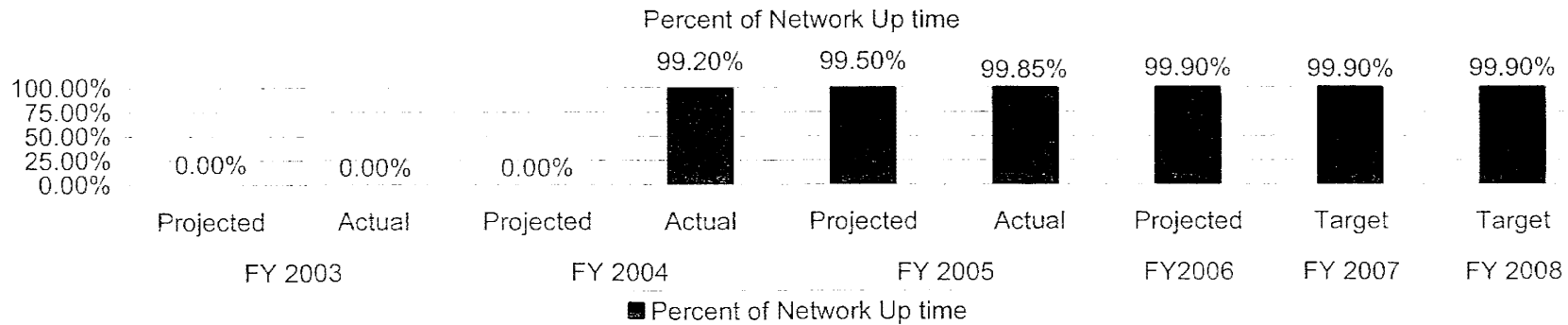
Department of Economic Development

Program Name PSC Regulatory - Administration

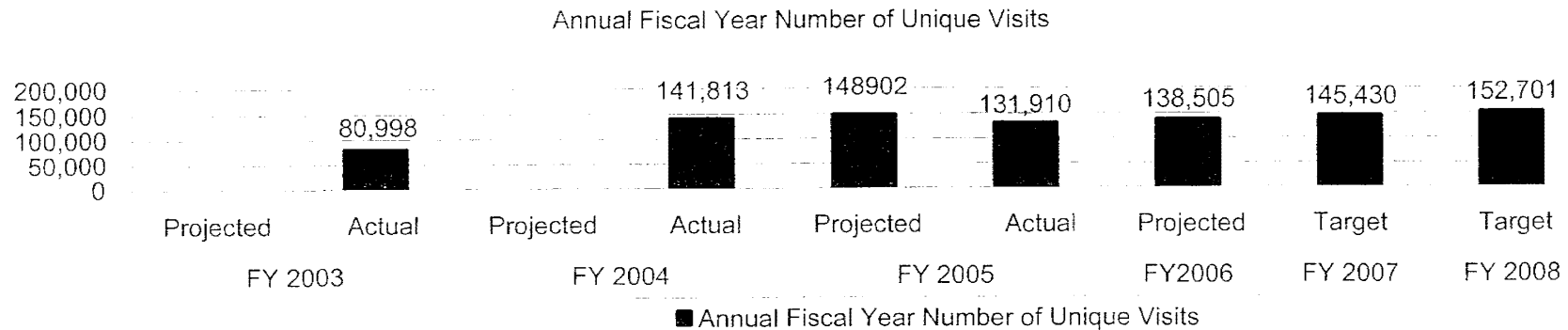
Program is found in the following core budget(s): Public Service Commission

7a. Provide an effectiveness measure.

Percentage of network up time.



Annual fiscal year number of unique visits to PSC Internet Site (first time hits)



Assumes a 5% growth in number of unique visits over FY 2004 to future fiscal years.

Note: Increase in number of unique visits from FY 2003 to FY 2004 is primarily due to the agency's electronic filing information system (EFIS) on-line and video conferencing capabilities.

# PROGRAM DESCRIPTION

Department of Economic Development

Program Name PSC Regulatory - Administration

Program is found in the following core budget(s): Public Service Commission

7b. Provide an efficiency measure.

Percentage of administrative expenditure to agency expenditures

| Program                             | FY 2003 |        | FY 2004 |        | FY 2005 |        | FY 2006 | FY 2007 | FY 2008 |
|-------------------------------------|---------|--------|---------|--------|---------|--------|---------|---------|---------|
|                                     | Proj.   | Actual | Proj.   | Actual | Proj.   | Actual | Proj.   | Target  | Target  |
| % of Administrative \$ to Agency \$ | N/A     | 3.44%  | N/A     | 3.60%  | 3.34%   | 3.47%  | 3.40%   | 3.40%   | 3.40%   |

Percentage of administrative FTE to agency total FTE

| Program                               | FY 2003 |        | FY 2004 |        | FY 2005 |        | FY 2006 | FY 2007 | FY 2008 |
|---------------------------------------|---------|--------|---------|--------|---------|--------|---------|---------|---------|
|                                       | Proj.   | Actual | Proj.   | Actual | Proj.   | Actual | Proj.   | Target  | Target  |
| % of Administrative FTE to Agency FTE | N/A     | 5.45%  | N/A     | 5.53%  | N/A     | 5.21%  | 5.31%   | 5.31%   | 5.31%   |

7c. Provide the number of clients/individuals served, if applicable.

Administration provides support to the Commission's authorized FTE.

| Program                             | FY 2003 |        | FY 2004 |        | FY 2005 |        | FY 2006 | FY 2007 | FY 2008 |
|-------------------------------------|---------|--------|---------|--------|---------|--------|---------|---------|---------|
|                                     | Proj.   | Actual | Proj.   | Actual | Proj.   | Actual | Proj.   | Target  | Target  |
| Support provided to # of Agency FTE | N/A     | 220    | N/A     | 217    | 220     | 220    | 207     | 207     | 207     |

7d. Provide a customer satisfaction measure, if available.

N/A



## PROGRAM DESCRIPTION

Department of Economic Development

Program Name **PSC Regulatory Core**

Program is found in the following core budget(s): **Public Service Commission**

**1. What does this program do?**

The Missouri Public Service Commission (PSC) has the statutory responsibility of ensuring that consumers receive adequate amounts of safely delivered and reasonably priced utility services at rates that will provide the utility companies' shareholders the opportunity to earn a reasonable return on their investment. Much of the Commission's work is conducted through formal contested case hearings, similar to court proceedings. The Commission regulates the rates and practices of investor-owned local telephone, water, sewer, gas and electric companies. The PSC also administers the state's deaf relay program, Relay Missouri. Relay Missouri allows speech or hearing impaired people to communicate with hearing people by using a communications assistant who "relays" the conversation to the other party.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Chapters 386, 392, 383, 394, and 700, RSMo.

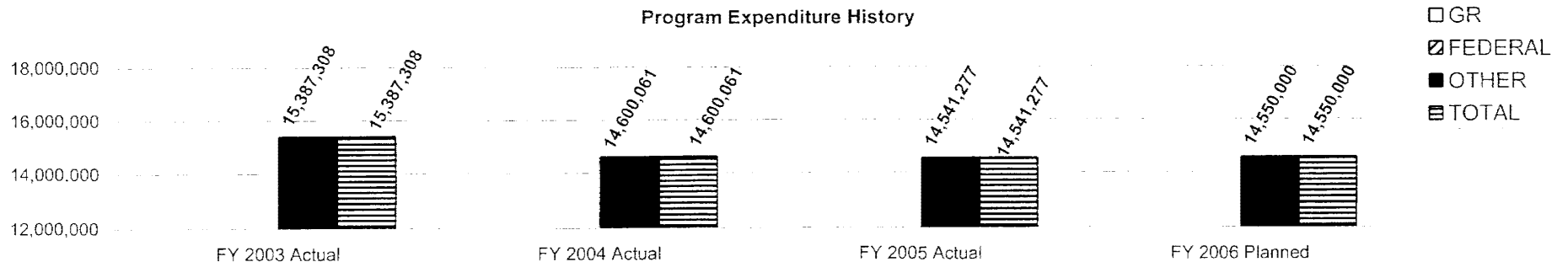
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

PSC Fund (0607); Deaf Relay Services & Equipment Fund (0559); Manufactured Housing Fund (0582).

## PROGRAM DESCRIPTION

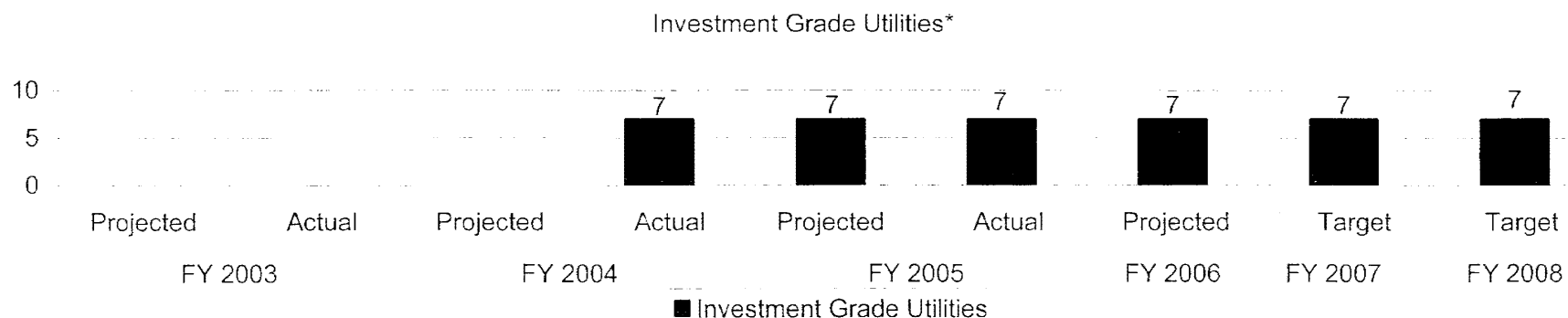
Department of Economic Development

Program Name PSC Regulatory Core

Program is found in the following core budget(s): Public Service Commission

### 7a. Provide an effectiveness measure.

Number of utilities that are investment grade: Investment grade meaning selling stock/debt issuances on the open market and the utility is rated by three of the national financial rating institutions such as Moody, Standard & Poors, and Fitch.



\*Total Missouri customers served by the seven investor grade utilities is 3,621,575. Some Missouri customers may use the services of up to three of the seven investor grade utilities.

### 7b. Provide an efficiency measure.

Utility Assessment Rate (overall factor)

|                         | FY 2003 |        | FY 2004 |        | FY 2005 |        | FY 2006 | FY 2007 | FY 2008 |
|-------------------------|---------|--------|---------|--------|---------|--------|---------|---------|---------|
|                         | Proj.   | Actual | Proj.   | Actual | Proj.   | Actual | Proj.   | Target  | Target  |
| Utility Assessment Rate | N/A     | 0.2123 | N/A     | 0.2161 | 0.2034  | 0.2034 | 0.1785  | 0.2010  | 0.2010  |

Percentage of PSC Assessment Fees Collected

|                                | FY 2003 |        | FY 2004 |        | FY 2005 |        | FY 2006 | FY 2007 | FY 2008 |
|--------------------------------|---------|--------|---------|--------|---------|--------|---------|---------|---------|
|                                | Proj.   | Actual | Proj.   | Actual | Proj.   | Actual | Proj.   | Target  | Target  |
| % of Assessment Fees Collected | N/A     | 99.79% | N/A     | 99.54% | 99.80%  | 99.77% | 99.90%  | 99.90%  | 99.90%  |

# PROGRAM DESCRIPTION

Department of Economic Development

Program Name PSC Regulatory Core

Program is found in the following core budget(s): Public Service Commission

7c. Provide the number of clients/individuals served, if applicable.

Number of utilities regulated:

| Program                  | FY 2003 |        | FY 2004 |        | FY 2005 |        | FY 2006 | FY 2007 | FY 2008 |
|--------------------------|---------|--------|---------|--------|---------|--------|---------|---------|---------|
|                          | Proj.   | Actual | Proj.   | Actual | Proj.   | Actual | Proj.   | Target  | Target  |
| # of Utilities Regulated | N/A     | 1,265  | N/A     | 1,139  | Unknown | 908    | Unknown | Unknown | Unknown |

7d. Provide a customer satisfaction measure, if available.

N/A